

# Searching for Quantum Gravity with neutrinos, Optical Module Beam Test at Fermilab and Hadronization Model studies for IceCube

IceCube Lab (ICL)  
Sven Lidstrom, NSF

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“Institute of Physics Astroparticle Physics”

<https://www.facebook.com/IOPAPP>

Shivesh Mandalia

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QMUL PPRC Seminar - 2019-10-17



Queen Mary  
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**ICECUBE**  
SOUTH POLE NEUTRINO OBSERVATORY



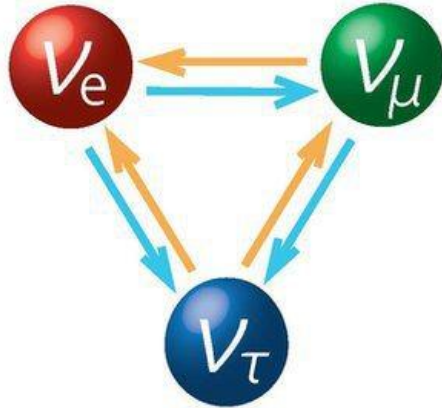
# Outline

1. Neutrino Standard Model
2. New Physics with Astrophysical Neutrinos
3. IceCube Neutrino Observatory
4. Search for New Physics with the Astrophysical Flavour Ratio
5. IceCube DOM beam test at the FTBF
6. Hadronization modelling in neutrino interactions

# Neutrino Standard Model ( $\nu$ SM)

**Neutrinos have nonzero mass!**

→ Discovered via **neutrino oscillations**



The Nobel Prize in Physics 2015  
Takaaki Kajita, Arthur B. McDonald

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## The Nobel Prize in Physics 2015



Photo © Takaaki Kajita

**Takaaki Kajita**

Prize share: 1/2



Photo: K. McFarlane,  
Queen's University  
/SNOLAB

**Arthur B. McDonald**

Prize share: 1/2

The Nobel Prize in Physics 2015 was awarded jointly to Takaaki Kajita and Arthur B. McDonald *"for the discovery of neutrino oscillations, which shows that neutrinos have mass"*

# Neutrino Standard Model ( $\nu$ SM)

Mass state

$$|\nu_\alpha\rangle = \sum_i U_{\alpha i}^* |\nu_i\rangle$$

Flavour state

PMNS mixing  
matrix

- Relationship between the **flavour** and **mass** states
- 3 x 3 Unitary Matrix
- 6 degrees of freedom

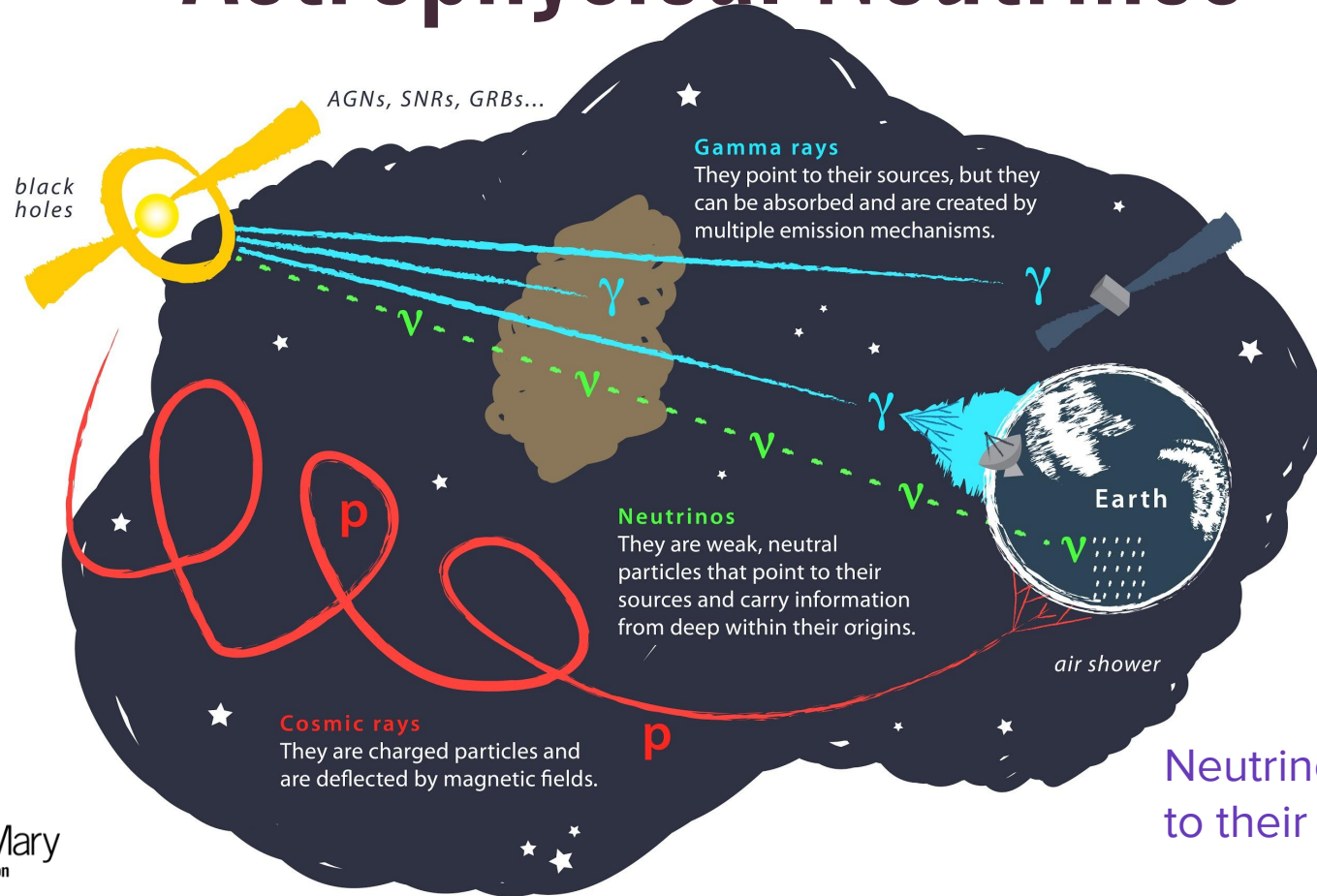


# New Physics with Astrophysical Neutrinos

Sven Lidstrom, NSF

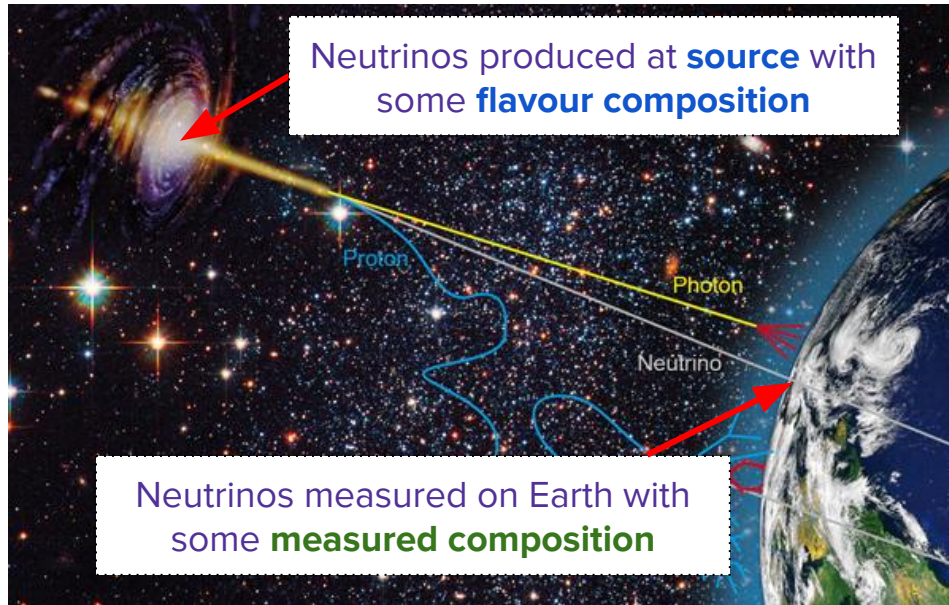


# Astrophysical Neutrinos



Neutrinos point back  
to their source!

# Astrophysical Neutrino Flavour



$$P_{\nu_\alpha \rightarrow \nu_\beta} = \sum_i |U_{\alpha i}|^2 |U_{\beta i}|^2$$

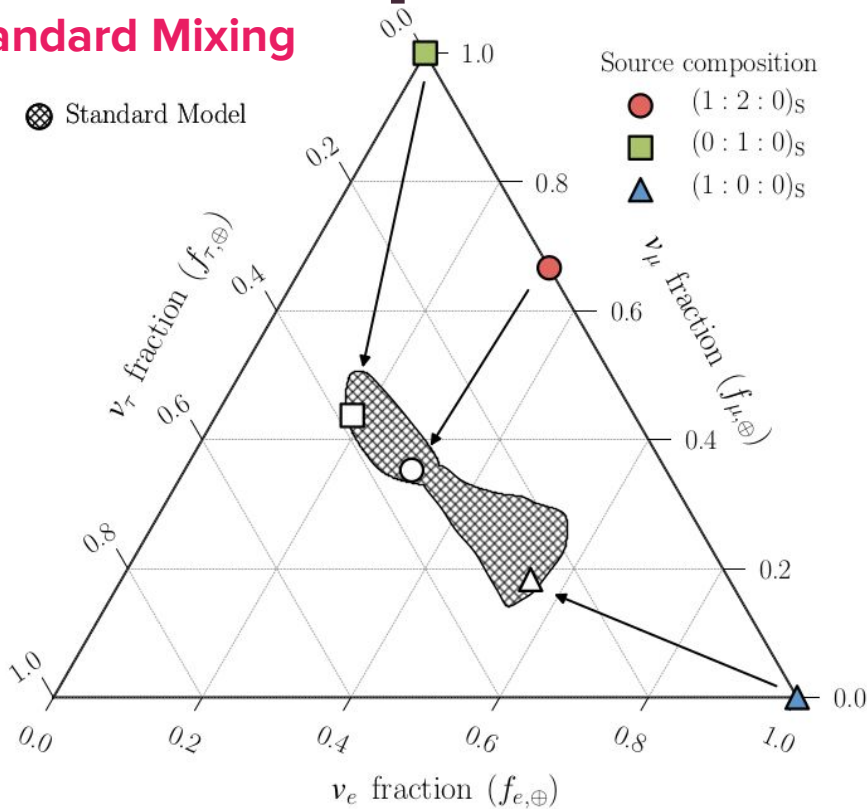
Example **source** flavour ratio scenarios:

$$(f_e : f_\mu : f_\tau)_S$$

- $(1 : 2 : 0)_S$  charged pion-decay
- $(1 : 0 : 0)_S$  neutron decay dominant
- $(0 : 1 : 0)_S$  rapid muon energy loss

# Astrophysical Neutrino Flavour

## Standard Mixing



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→ (1 : 0 : 0)<sub>S</sub> neutron decay dominant

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$$(1 : 2 : 0)_S \rightarrow (0.31 : 0.35 : 0.34)_\oplus$$

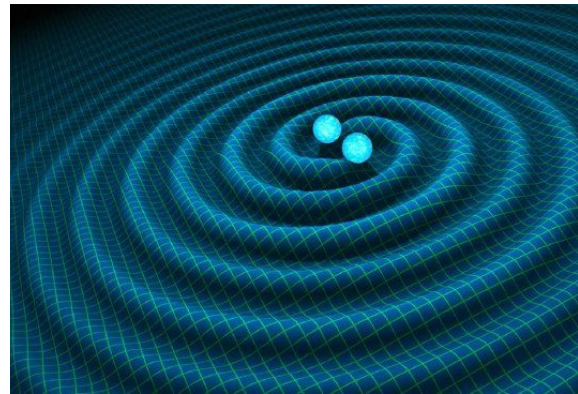
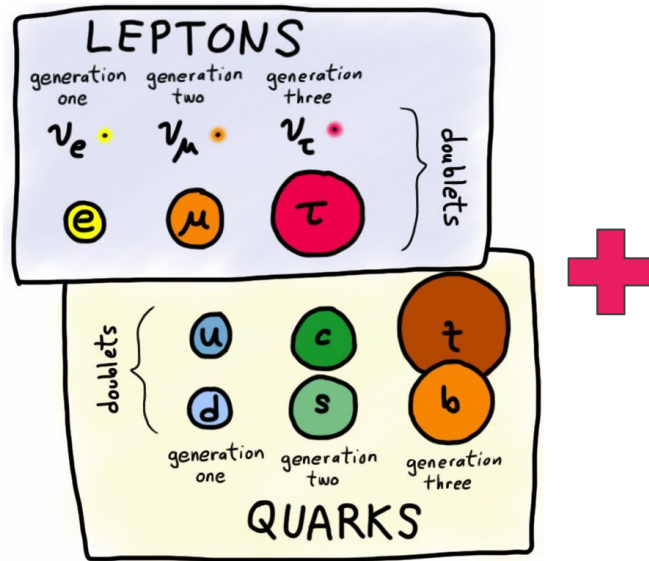
$$(0 : 1 : 0)_S \rightarrow (0.18 : 0.44 : 0.38)_\oplus$$

$$(1 : 0 : 0)_S \rightarrow (0.55 : 0.18 : 0.27)_\oplus$$

# Motivation

Unified theories of the **standard model of particle physics** and **general relativity** allow for Lorentz symmetry violation

- String theory<sup>[1]</sup>
- Quantum gravity<sup>[2]</sup>
- etc.





# New Physics Effective Hamiltonian

Introduce new physics in the **mixing matrix**

$$H_d = \boxed{\frac{1}{2E} U M^2 U^\dagger} + \boxed{\frac{E^{d-3}}{\Lambda_d} \tilde{U}_d O_d \tilde{U}_d^\dagger} = \boxed{V_d(E)} \Delta V_d^\dagger(E)$$

**Dimension** (points to  $H_d$ )

**Standard Mixing** (points to  $\frac{1}{2E} U M^2 U^\dagger$ )

**New Physics Terms** (points to  $\frac{E^{d-3}}{\Lambda_d} \tilde{U}_d O_d \tilde{U}_d^\dagger$ )

**Mixing Matrix with New Physics** (points to  $V_d(E)$ )

→ Motivated by **Standard Model Extension (SME)**, which is a general effective field theory framework to look for Lorentz violation

# New Physics Effective Hamiltonian

## Energy

- **Power** of astrophysical  $\nu$  comes from the energy dependence
- Can provide strong constraints for **higher dimensional** operators

$$\frac{E^{d-3}}{\Lambda_d} \tilde{U}_d O_d \tilde{U}_d^\dagger$$

# New Physics Effective Hamiltonian

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$$\frac{E^{d-3}}{\Lambda_d} \tilde{U}_d O_d \tilde{U}_d^\dagger$$

## BSM mixing elements

- 3x3 Unitary Matrix
- Fix some elements to obtain **best case limits**
  - ◆ Same spirit as LV community

# New Physics Effective Hamiltonian

## Energy

- **Power** of astrophysical  $\nu$  comes from the energy dependence
- Can provide strong constraints for **higher dimensional** operators

$$E^{d-3}$$

$$\Lambda_d$$

$$\tilde{U}_d$$

$$O_d$$

$$\tilde{U}_d^\dagger$$

## BSM mixing elements

- 3x3 Unitary Matrix
- Fix some elements to obtain **best case limits**
  - ◆ Same spirit as LV community

## Scale of New Physics

- We will provide limits on the scale of new physics

$$\Lambda_d^{-1}$$

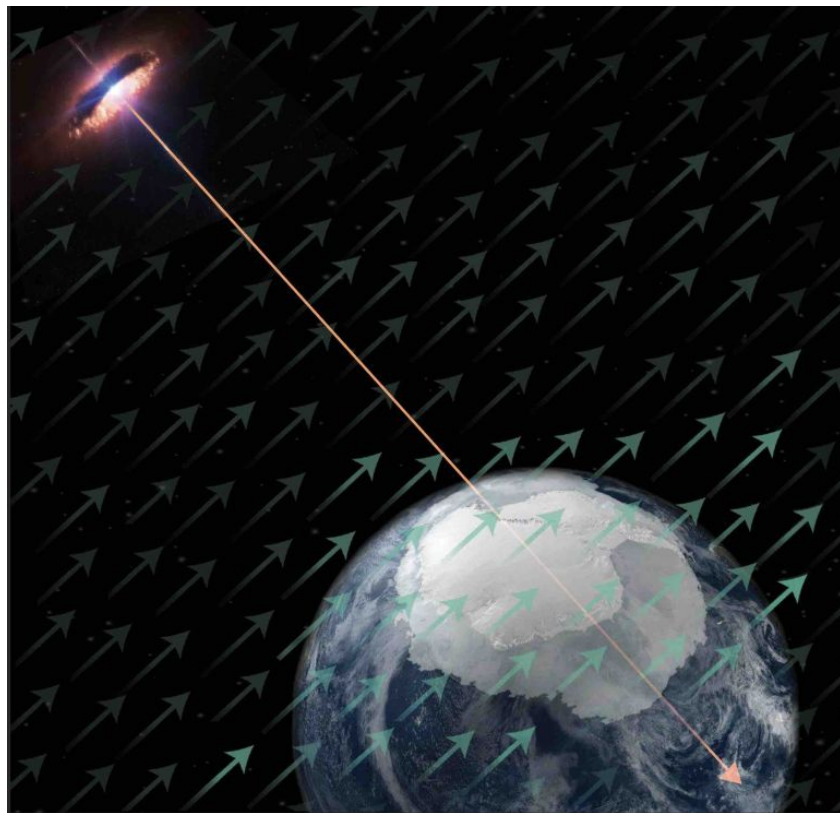
**This is what we are setting limits on!!**

- For each dimension  $d$ 
  - ◆  $d = 3, 4, 5, 6, 7, 8$

# New Physics Interpretation

New operators can be interpreted  
in different new physics contexts

- Lorentz and CPT Violation
- Dark Energy Interaction <sup>[1]</sup>
- etc.







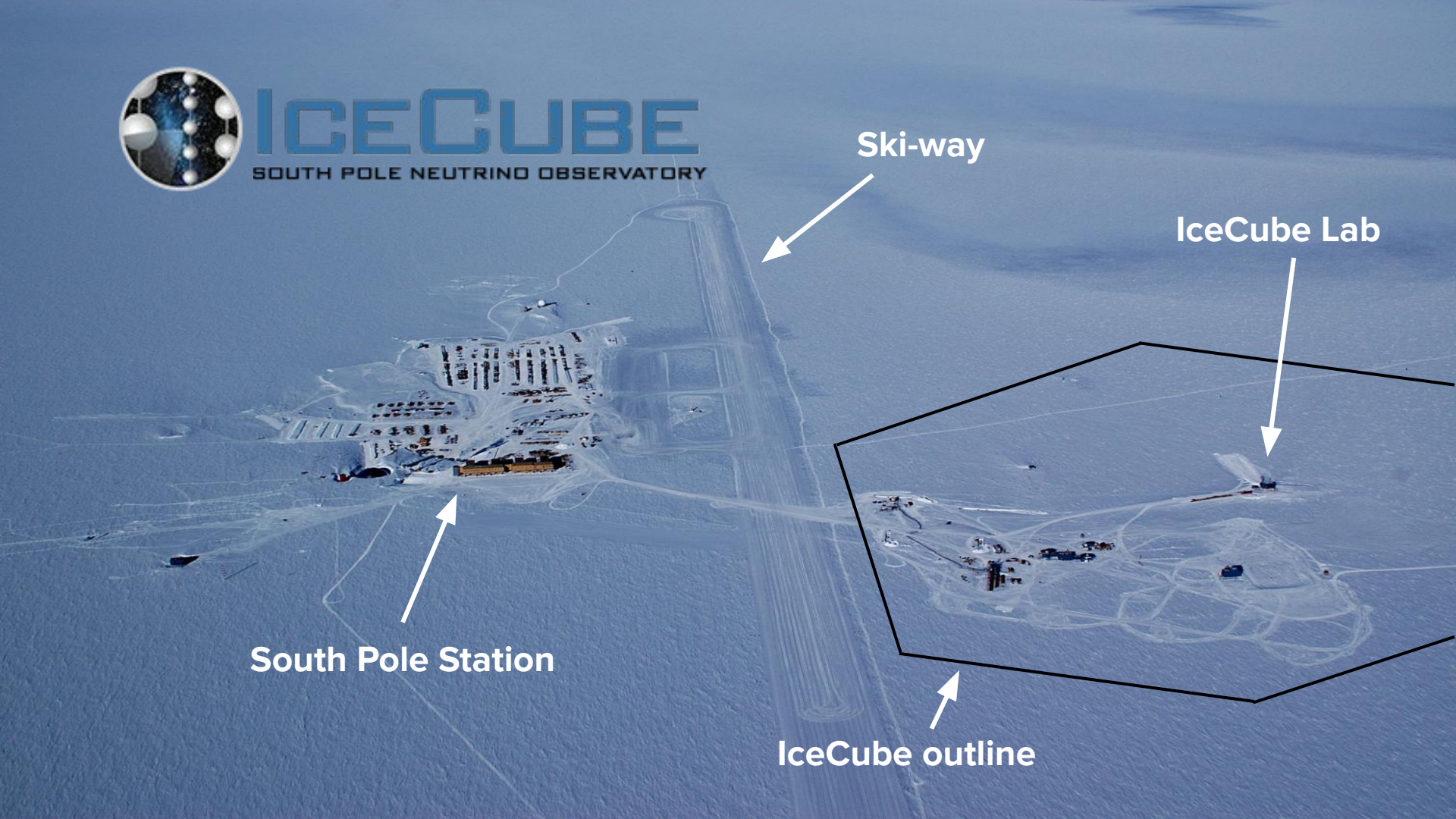
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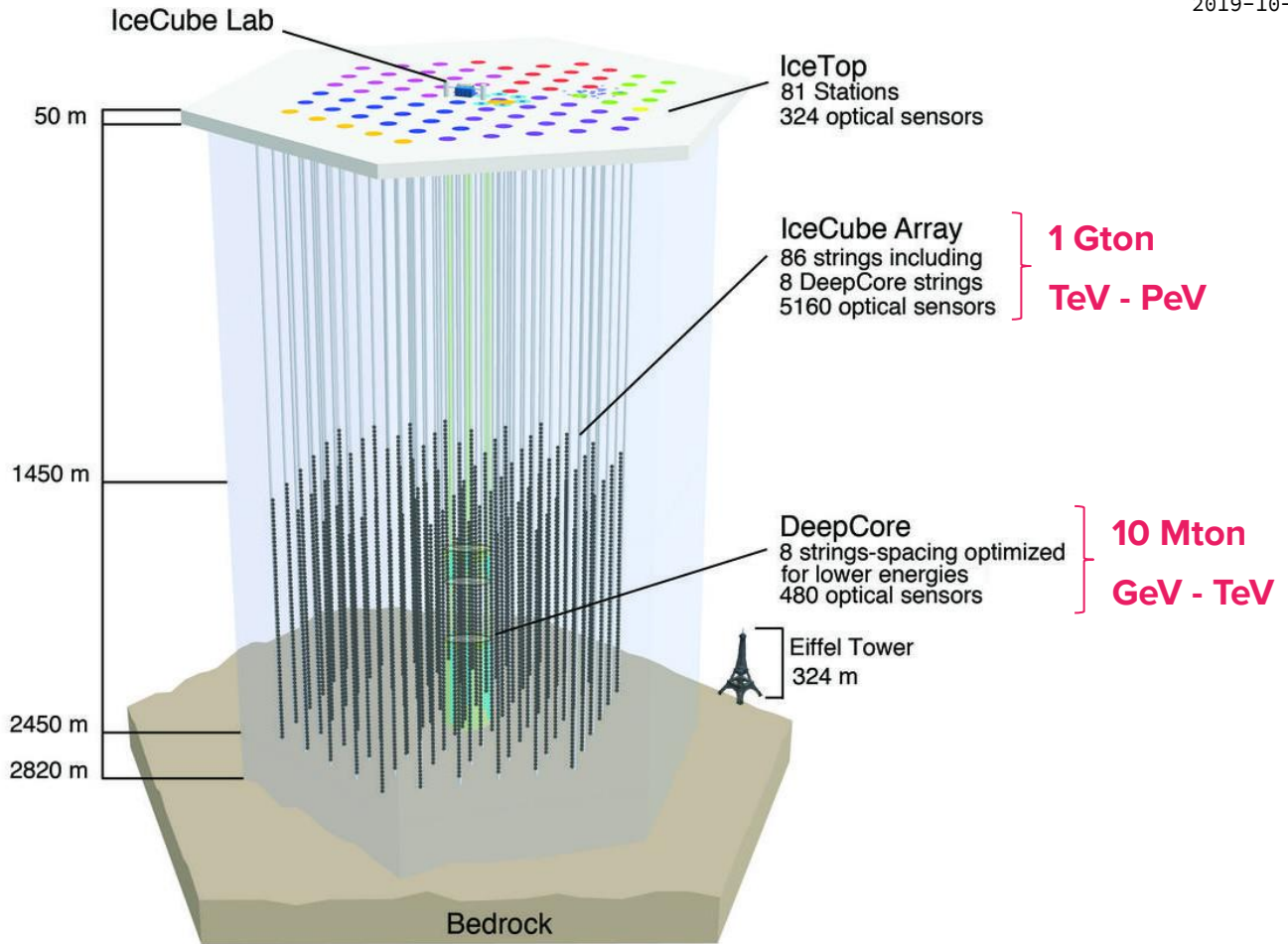
Ski-way

IceCube Lab

South Pole Station

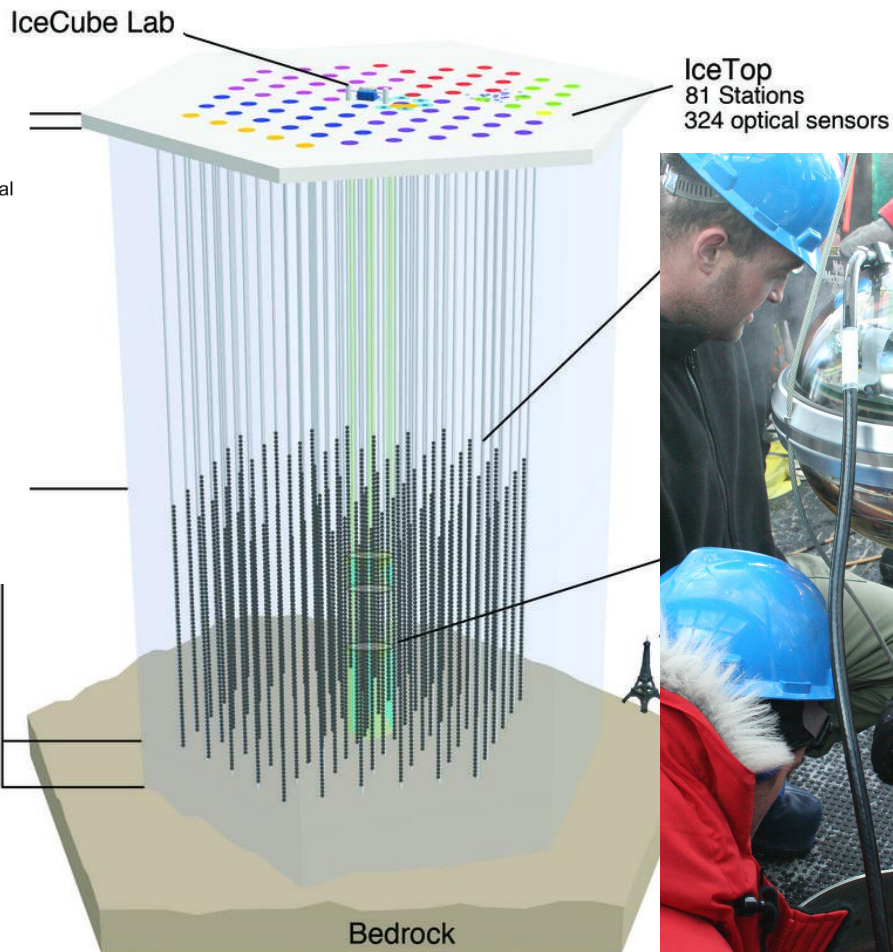
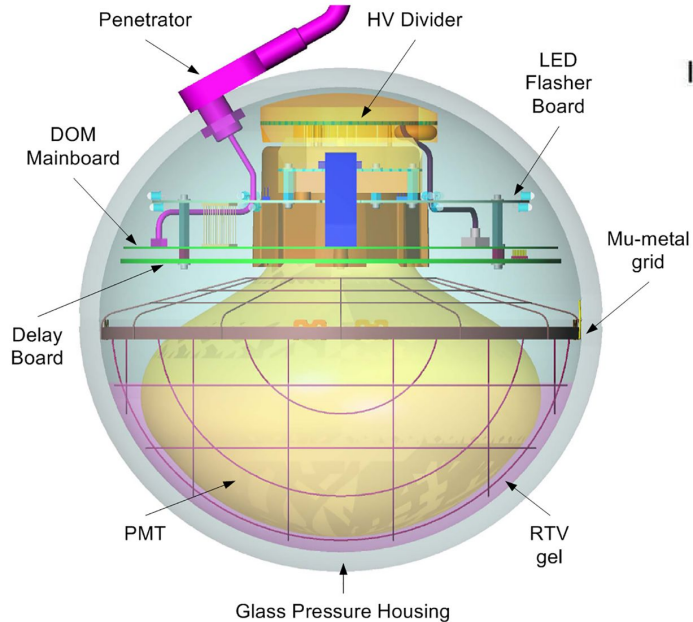
IceCube outline





**World's largest  
Cherenkov  
neutrino detector!**





Digital Optical Module (**DOM**)

**World's largest  
Cherenkov  
neutrino detector!**

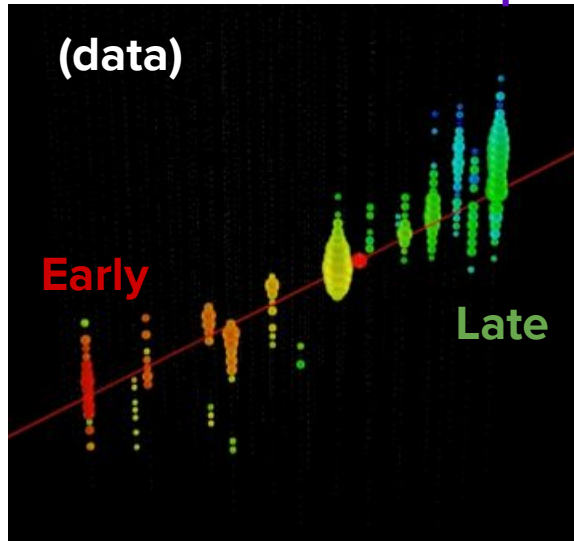


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## Charged-current $\nu_\mu$

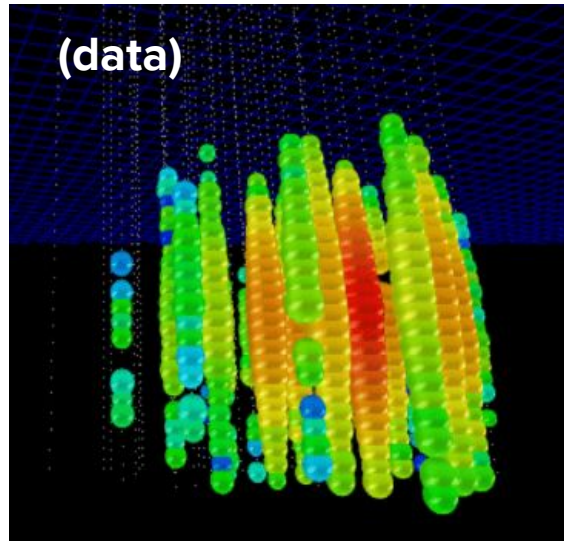


### Track

$$\nu_\mu + N \rightarrow \mu + X$$

Angular resolution  $\sim 0.5^\circ$   
Energy resolution  $\sim$  factor 2

## Neutral-current / $\nu_e$

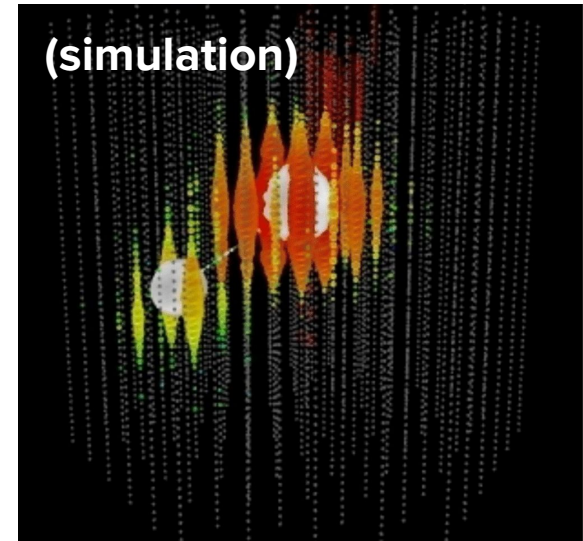


### Cascade

$$\begin{aligned}\nu_e + N &\rightarrow e + X \\ \nu_x + N &\rightarrow \nu_x + X\end{aligned}$$

Angular resolution  $\sim 10^\circ$   
Energy resolution  $\sim 15\%$

## Charged-current $\nu_\tau$

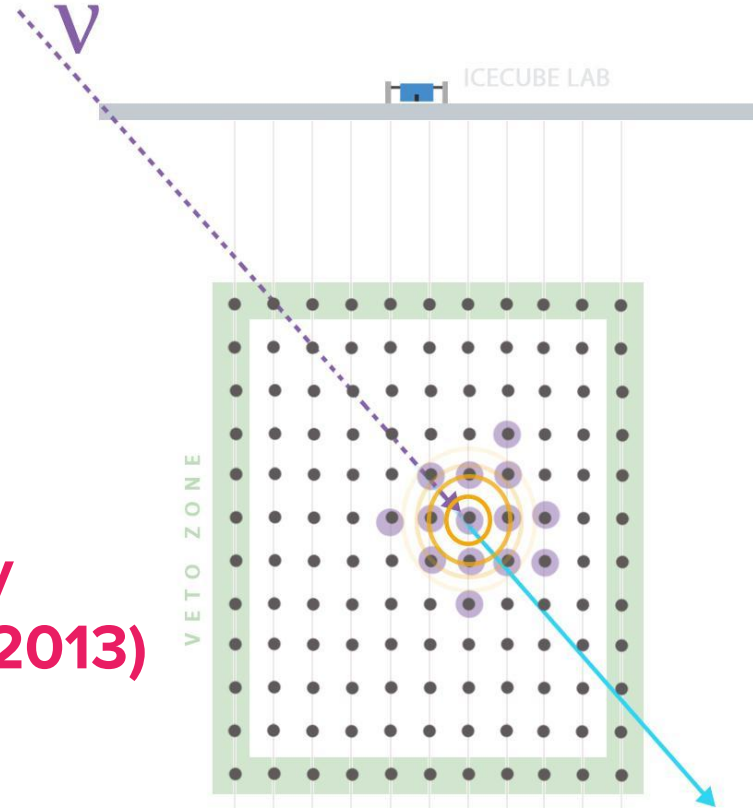
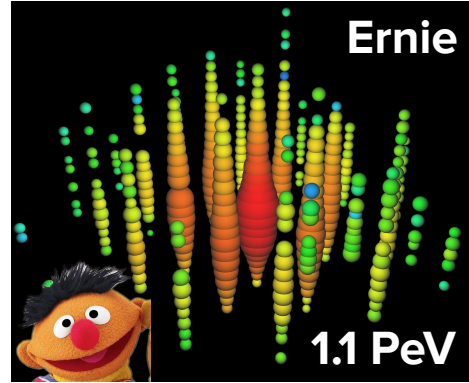
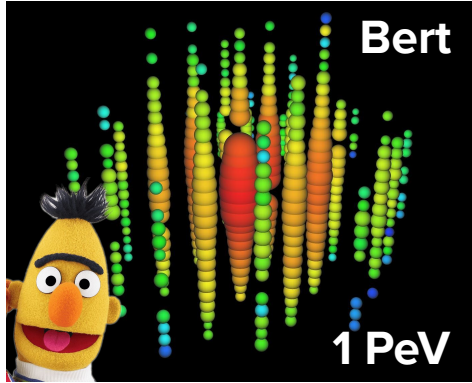


### Double-Cascade

$$\nu_\tau + N \rightarrow \tau + X$$

$\sim 2$  expected in 6 years

# High Energy Starting Events (HESE)



**First Evidence for High-Energy  
Astrophysical Neutrinos! (Science 2013)**



# New 7.5 years HES E data!

**All energies: 103 events**

22 new events in 2016

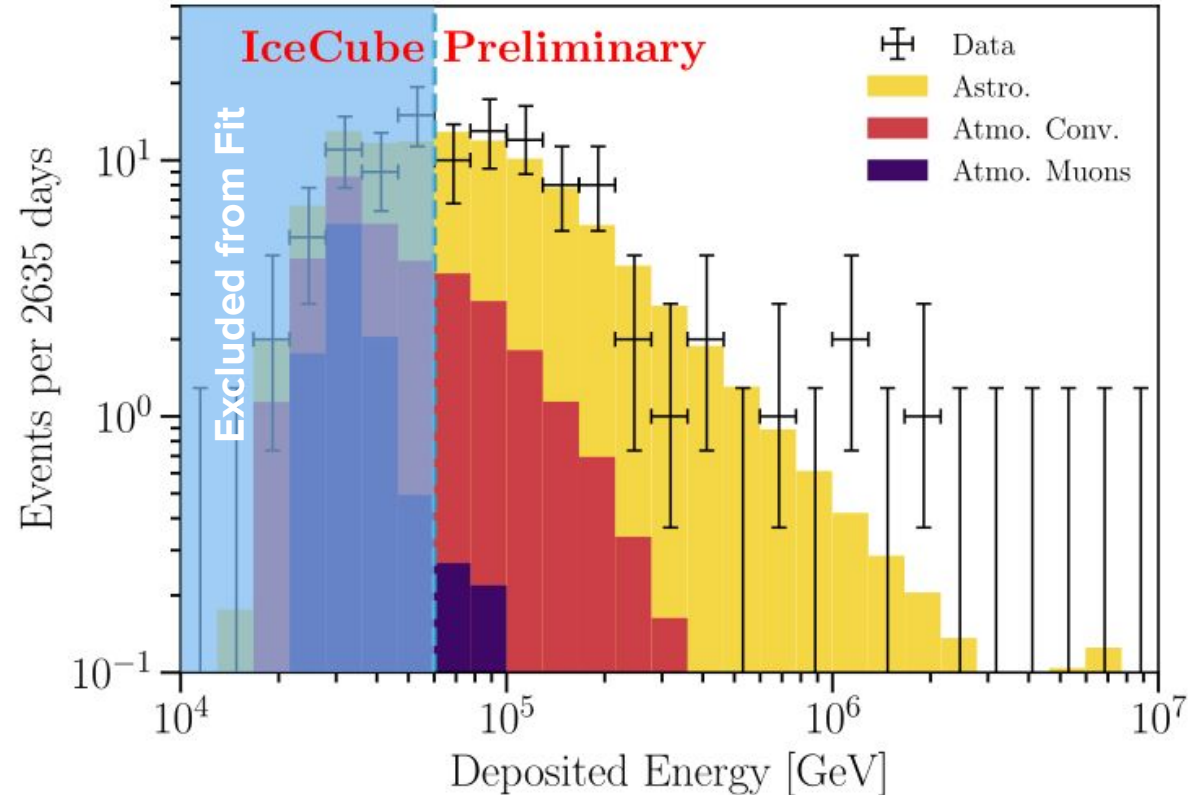
9 new events in 2017

**> 60 TeV: 60 events**

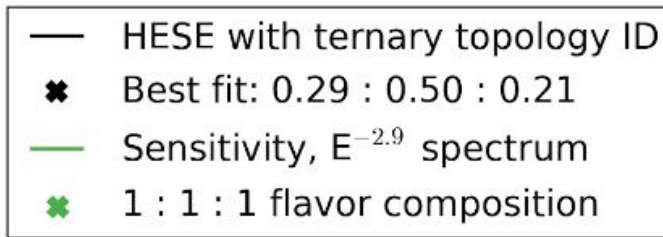
42 cascades

15 tracks

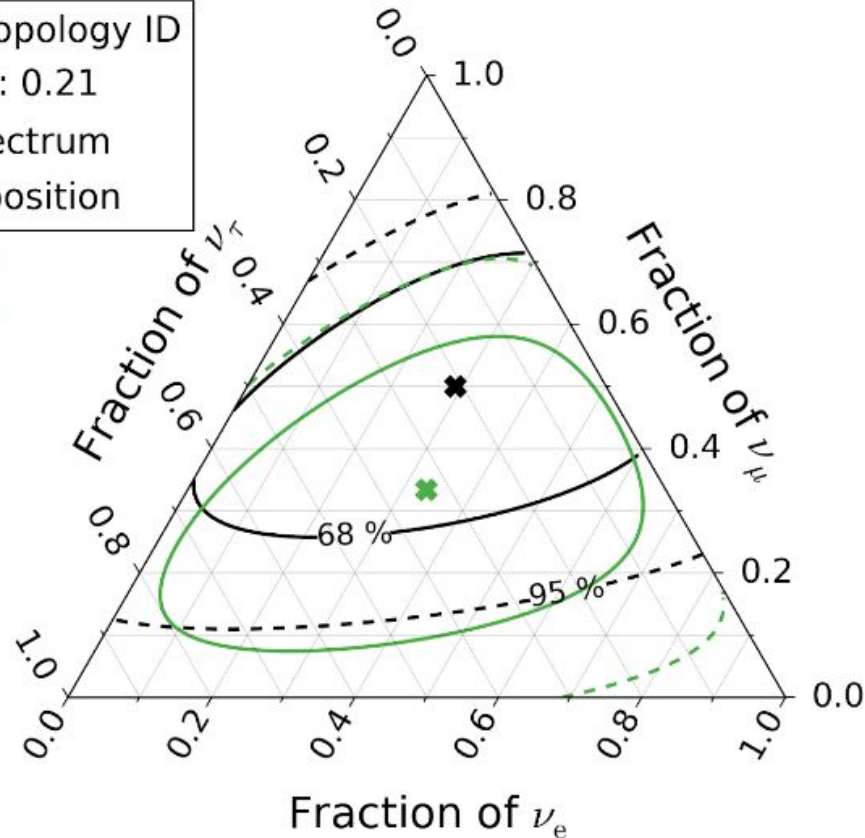
2 double-cascade



# Flavour Ratio Measurement



WORK IN PROGRESS



# Analysis Method

$$H_d = \frac{1}{2E} U M^2 U^\dagger + \frac{E^{d-3}}{\Lambda_d} \tilde{U}_d O_d \tilde{U}_d^\dagger = V_d(E) \Delta V_d^\dagger(E)$$

Dimension

## Standard Mixing

NuFIT 3.2 (2018)

$$|U|_{3\sigma} = \begin{pmatrix} 0.799 \rightarrow 0.844 & 0.516 \rightarrow 0.582 & 0.141 \rightarrow 0.156 \\ 0.242 \rightarrow 0.494 & 0.467 \rightarrow 0.678 & 0.639 \rightarrow 0.774 \\ 0.284 \rightarrow 0.521 & 0.490 \rightarrow 0.695 & 0.615 \rightarrow 0.754 \end{pmatrix}$$

Standard model mixings are floated within the NuFIT bounds

$$\begin{array}{l} \frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2} \quad [+2.399 \rightarrow +2.593] \\ \frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2} \quad [-2.536 \rightarrow -2.395] \end{array}$$

➔ +6 nuisance parameters

# Analysis Method

$$H_d = \frac{1}{2E} U M^2 U^\dagger + \frac{E^{d-3}}{\Lambda_d} \tilde{U}_d O_d \tilde{U}_d^\dagger = [V_d(E)] \Delta V_d^\dagger(E)$$

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→ +6 nuisance parameters

## New Physics Texture

New Physics Matrix is fixed to a **maximally oscillating** texture

→ Set all but **one** of the **New Physics** mixing angles to **0**

$$\tilde{\theta}_{ij,d} = \begin{cases} \pi/4 & \in \tilde{\theta}_{13,d} \\ 0 & \text{otherwise} \end{cases} \Rightarrow \tilde{U}_d = \mathcal{O}_{e\tau} \equiv \begin{pmatrix} \frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} \\ 0 & 1 & 0 \\ -\frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} \end{pmatrix} + \mathbb{O}(i)$$

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→ Fit for the New Physics Scale  $\Lambda_d^{-1}$

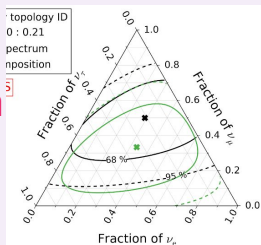
# Analysis Method

$$\bar{\phi}_{\beta,\oplus}^{(d)} = \frac{1}{|\Delta E|} \int_{\Delta E} \sum_{\alpha} P_{\nu_{\alpha} \rightarrow \nu_{\beta}}^{(d)}(E) \phi_{\alpha,s}(E) dE$$

## Measured Flavour

$$f_{\beta,\oplus}^{(d)} = \bar{\phi}_{\beta,\oplus}^{(d)} / \sum_{\gamma} \bar{\phi}_{\gamma,\oplus}^{(d)}$$

This analysis is  
an interpretation  
of this flavour  
contour





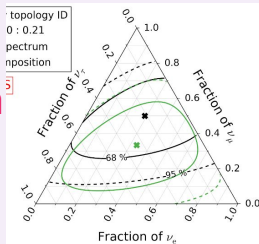
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This analysis is  
an interpretation  
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contour



## Mixing

→ Averaged over energy spectrum

$$P_{\nu_{\alpha} \rightarrow \nu_{\beta}}^{(d)}(E) = \sum_i [V_{\alpha i, d}(E)]^2 [V_{\beta i, d}(E)]^2$$

## Mixing Matrix with New Physics

BSM introduced in the mixing  
during propagation

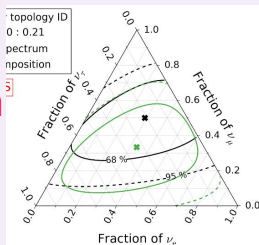
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## Mixing

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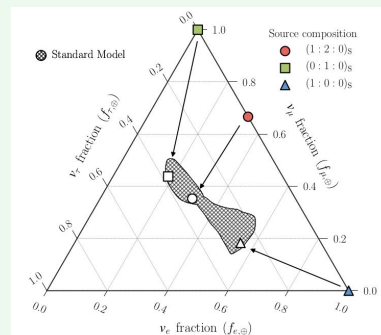
$$P_{\nu_{\alpha} \rightarrow \nu_{\beta}}^{(d)}(E) = \sum_i [V_{\alpha i, d}(E)]^2 [V_{\beta i, d}(E)]^2$$

Mixing Matrix with New Physics

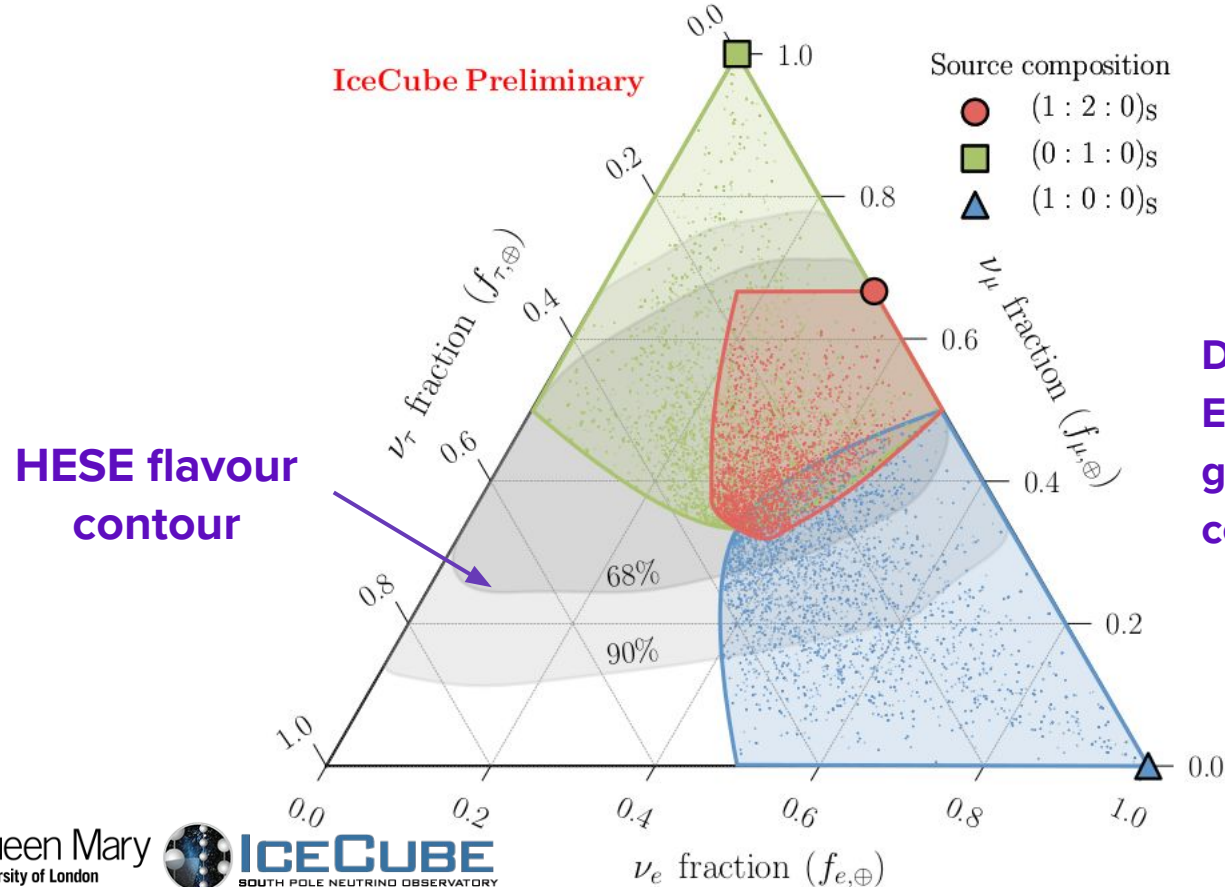
BSM introduced in the mixing  
during propagation

## Source Flux

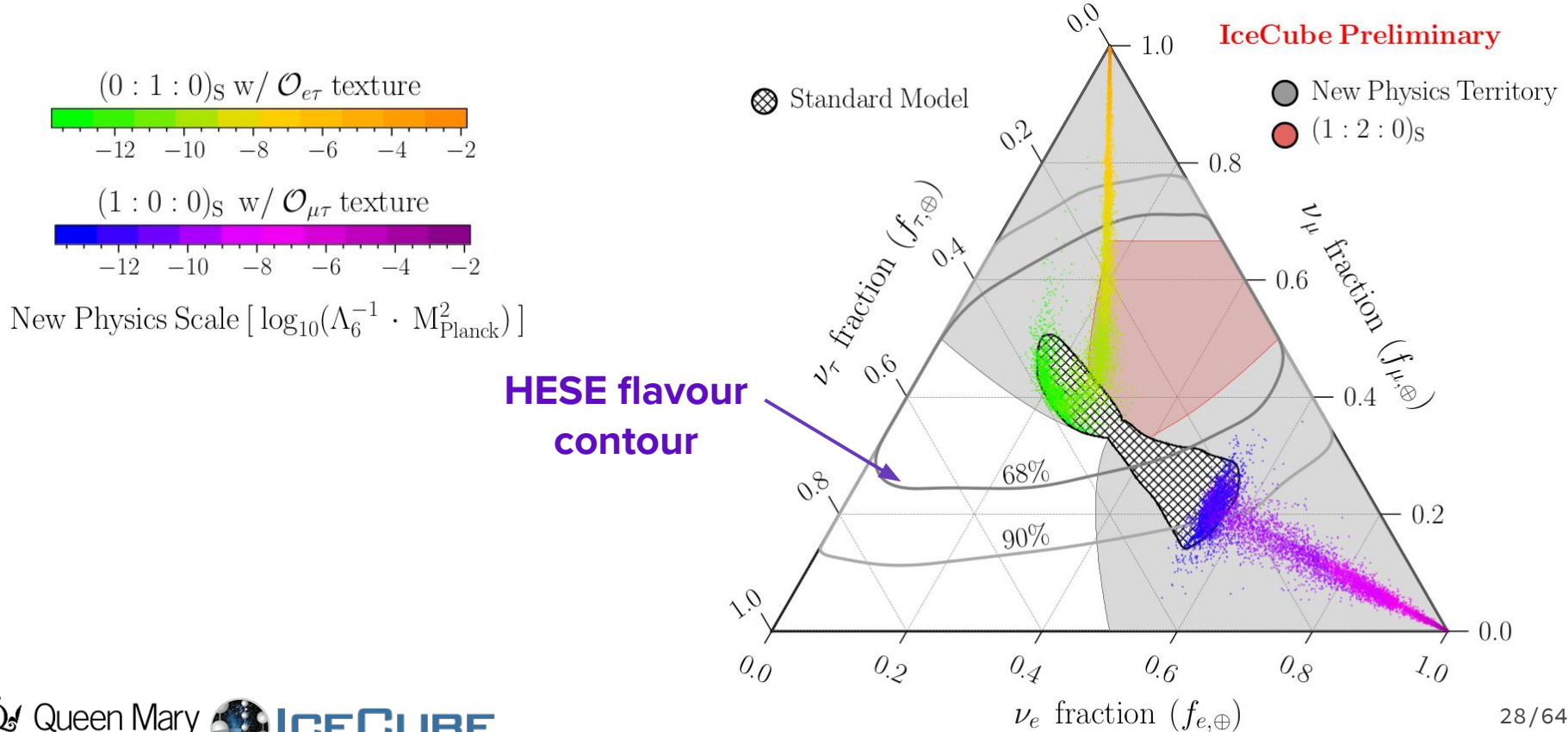
Fix to a certain model



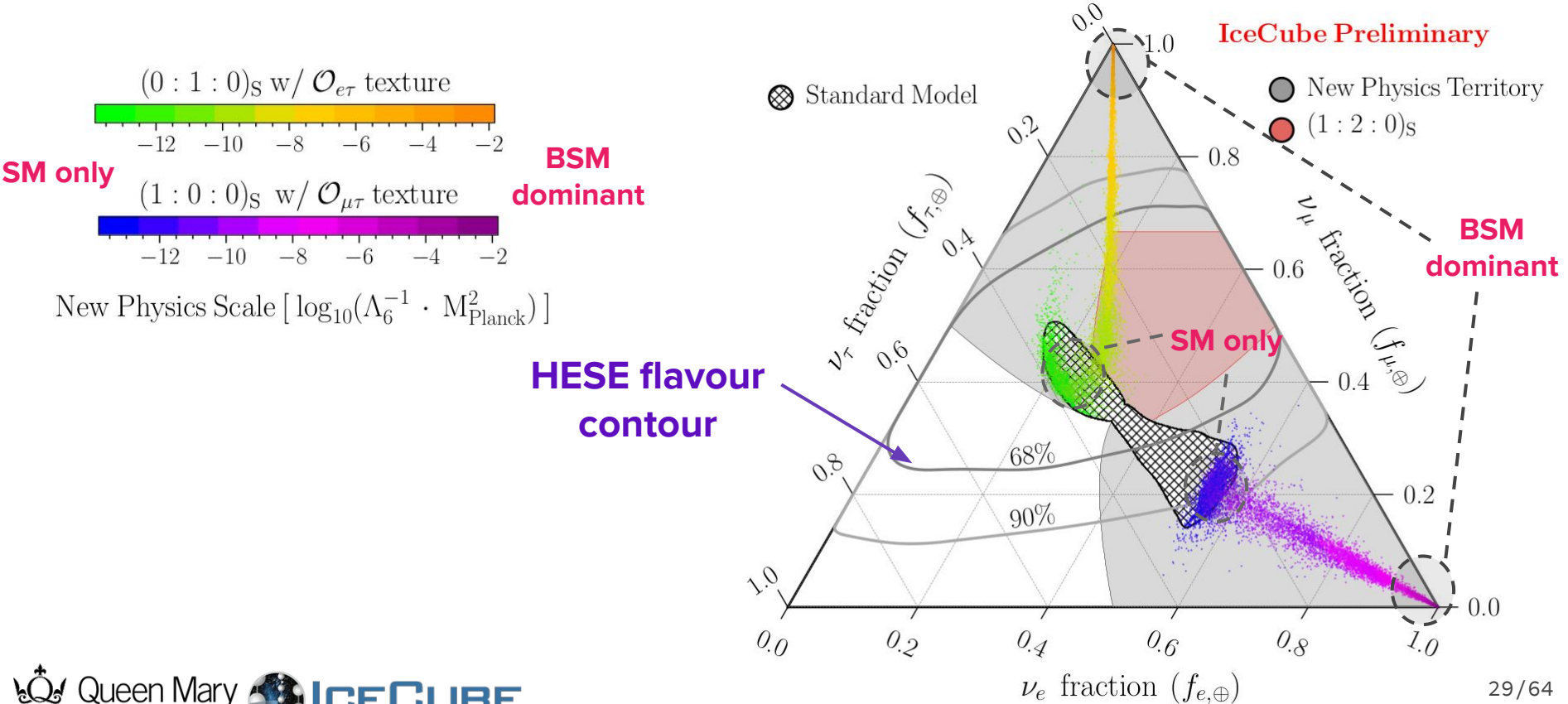
# Effect on Flavour Triangle



# Effect on Flavour Triangle



# Effect on Flavour Triangle



# Analysis Specification

## Binning

**60 TeV < E < 10 PeV**   **20 bins**  
**-1 < cos(zenith) < 1**   **10 bins**

**+ separate binning for double-cascade**

J. Stachurska, *ICRC (2019)*, arXiv: 1908.05506

## Normalization of flux:

1. conventional (40%)
2. prompt ( $\pm 2.4$  BERS)
3. astrophysical (free)
4. muon background (50%)

## Flux components:

1. astrophysical neutrino index (free)

## Sytematics

**+5 nuisance  
params**

→ Atmospheric neutrinos from **Honda2006**

Honda, Morihiro et al., *Phys.Rev. D75 (2007) 043006*

→ Prompt neutrinos from **BERSS**

Bhattacharya, Enberg, Reno, Sarcevic, Stasto, *JHEP 1506 (2015) 110*

→ Simple power law for astrophysical neutrinos

→ DIS cross section from **CSMS** paper

Cooper-Sarkar, Mertsch, Sarkar, *JHEP 1108 (2011) 042*

→ Analytical oscillation formula

## Simulation

→ **Bayesian Markov Chain Monte Carlo** (main results)

◆ Bayes factor hypothesis test

## Fit Methods

→ **11 nuisance parameters in total**

→ **+  $\Lambda_d^{-1}$  parameter of interest**

**How do we work in such a high-dim space?**

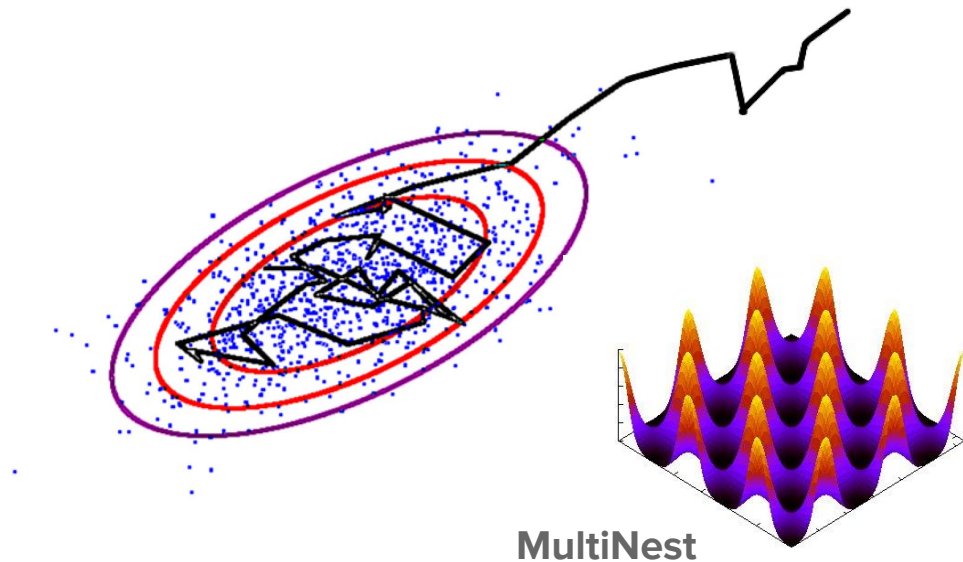


# Markov Chain Monte Carlo

Construct a **random walk** which explores the likelihood space

Random walk is **biased** such that the distribution **approximates the posterior space**

Great utility when following a **Bayesian** statistical treatment



$$P(\Theta | D) = \frac{P(D|\Theta)P(\Theta)}{P(D)}$$

# Anarchy Sampling

Need to be careful about which parameters we sample over

→ Could introduce artificial **biases** if not careful

$$\frac{1}{2E} U M^2 U^\dagger$$

$$U = e^{i\eta} e^{i\phi_1 \lambda_3 + i\phi_2 \lambda_8} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} e^{i\chi_1 \lambda_3 + i\chi_2 \lambda_8}$$

We sample in the space defined by the **Haar Measure**:

$$dU = d(\sin^2 \theta_{12}) \wedge d(\cos^4 \theta_{13}) \wedge d(\sin^2 \theta_{23}) \wedge d\delta$$

**Volume Elements**

Sample uniformly in  $s_{12}^2, c_{13}^4, s_{23}^2, \delta$

# Constructing a Limit

If no New Physics is found, we can attempt to set a limit

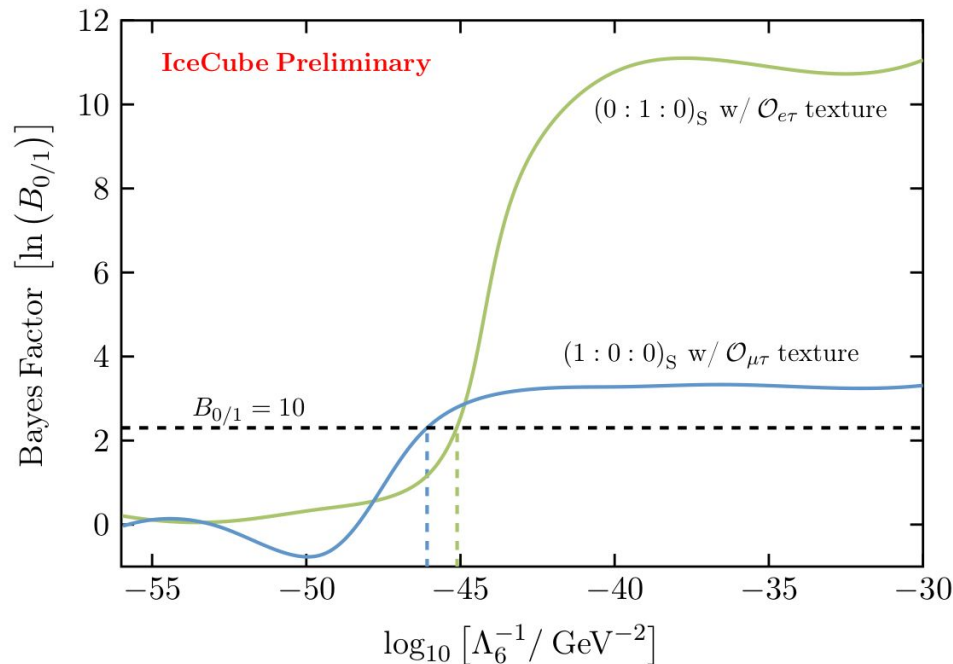
Define Bayes Factor

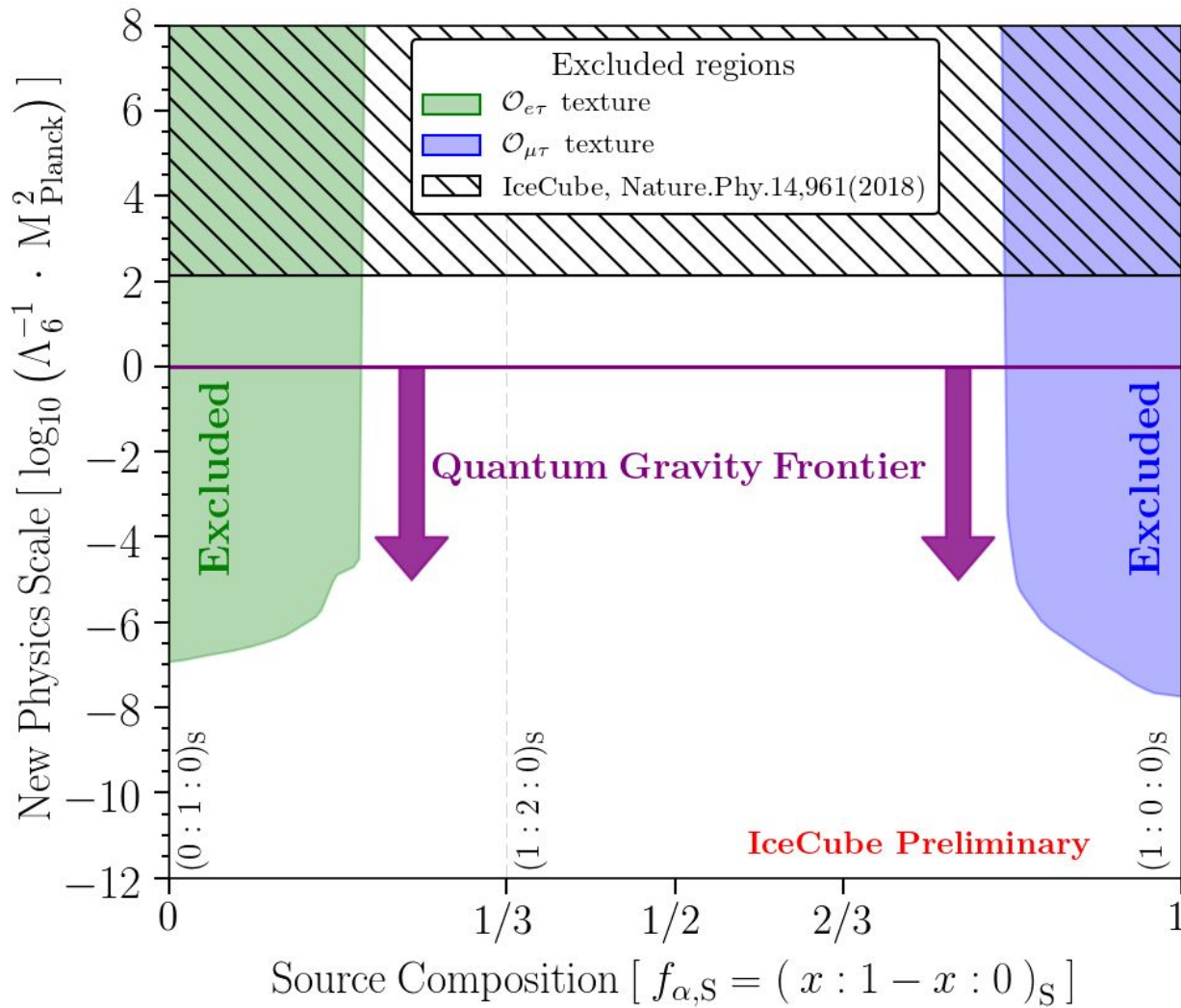
$$B_{0/1}(\mathbf{x}) = \frac{\mathcal{Z}_0(\mathbf{x})}{\mathcal{Z}_1(\mathbf{x})}$$

$$\mathcal{Z}_j(\mathbf{x}) = f(\mathbf{x}|\mathcal{M}_j) = \int f_j(\mathbf{x}|\boldsymbol{\theta}_j) \pi_j(\boldsymbol{\theta}_j) d\boldsymbol{\theta}_j$$

We define our threshold as having a “strong strength of evidence” according to Jeffreys’ scale

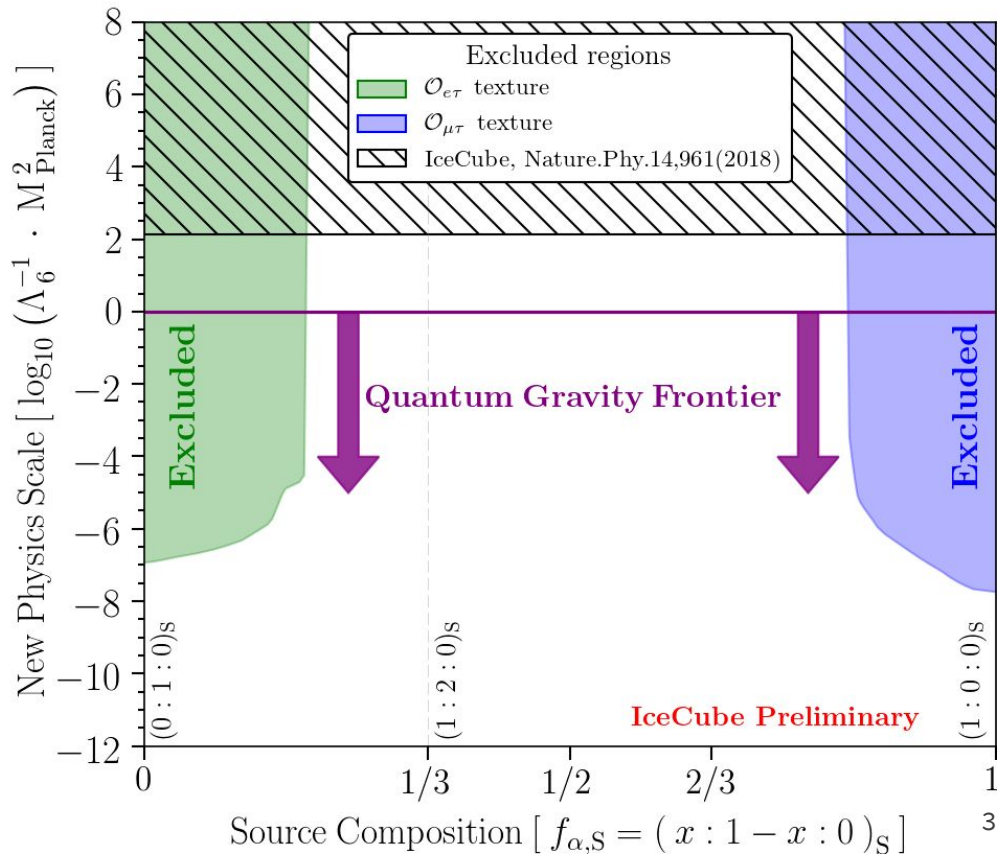
$$B_{0/1} > 10$$





# Preliminary Results

- Limits given per operator dimensionality
- Limits given for fixed initial flavor composition (x-axis) and BSM operator texture (in green and blue)
- **No constraints obtained if the initial flavor composition is pion-based (1:2:0)**
- **First BSM physics constraints using astrophysical neutrino flavour information**
- Constraints can be reinterpreted in terms of other BSM physics since they have been expressed as effective operators.



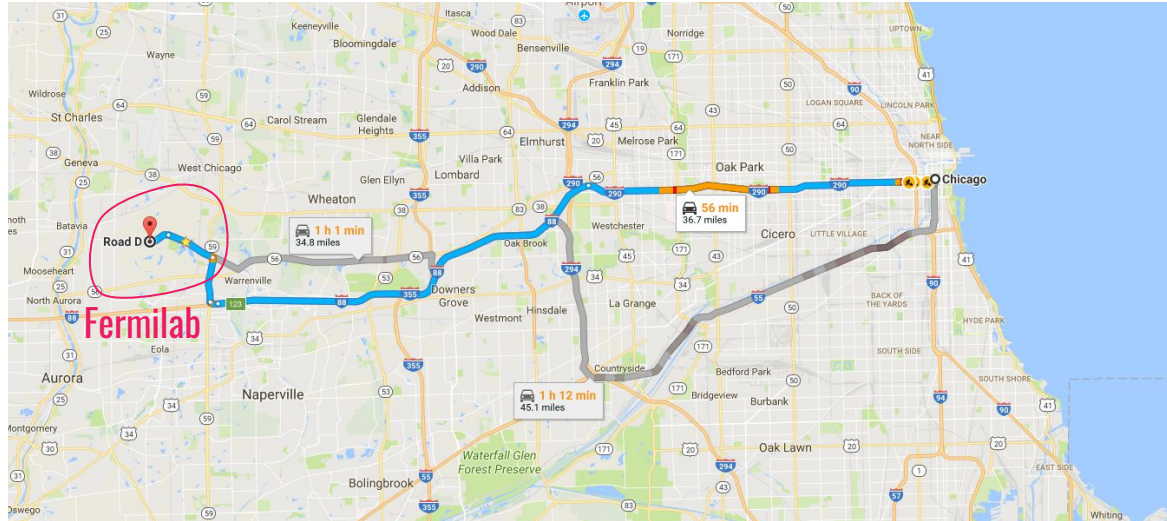
# IceCube DOM beamtest at the FTBF

Sven Lidstrom, NSF

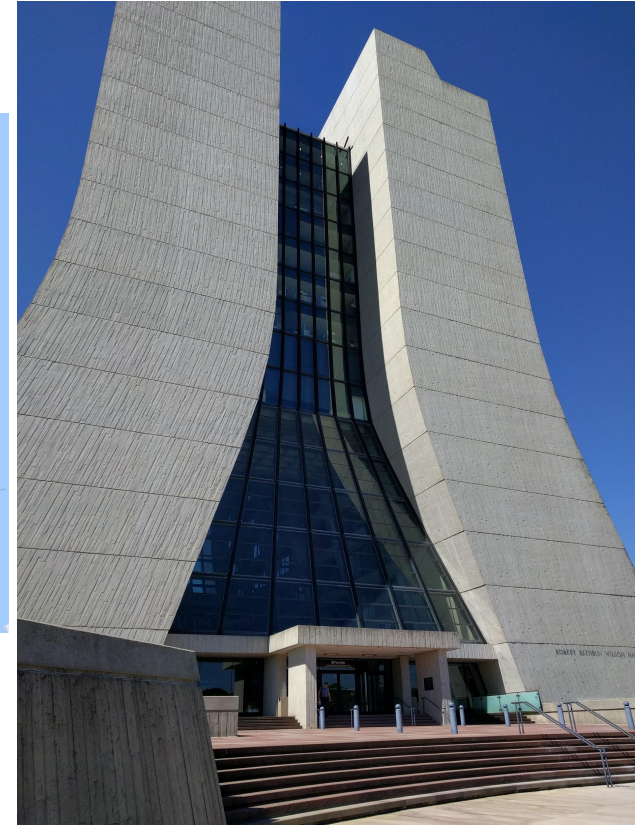




# Fermilab



- Discovery of the bottom/top quark
- Observation of direct CP violation in kaon decays
- Observation of tau neutrino (DONUT)
- Headquarters for DUNE



Wilson Hall



# Fermilab



→ Headquarters for DUNE

Wilson Hall

# Fermilab

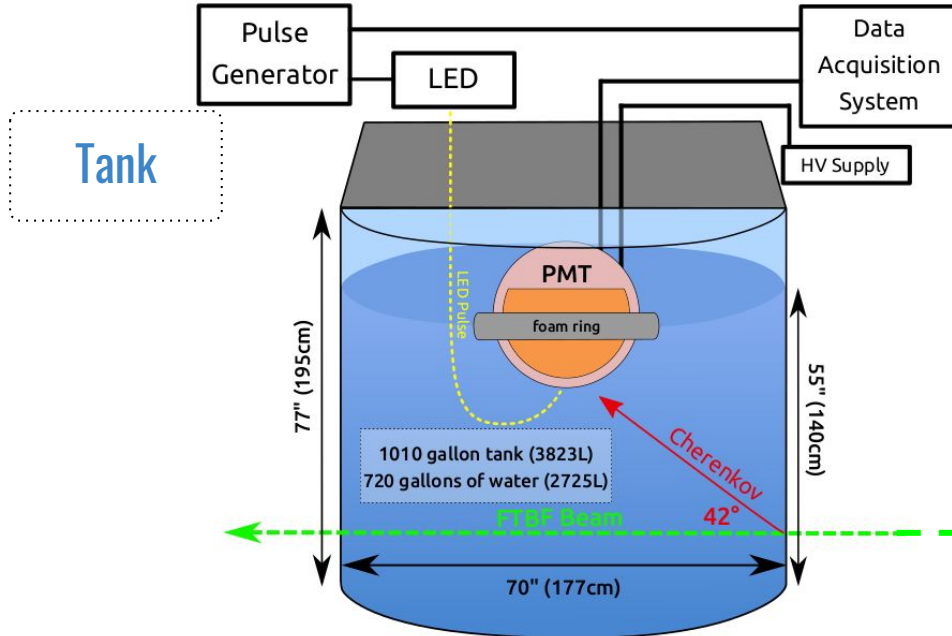
One of the beams at Fermilab is available to users.

From the website: **Fermilab Test Beam Facility (FTBF)**

“The FTBF program provides flexible, equal, and open access to test beams for all detector tests, with relatively low bureaucratic overhead and a guarantee of safety, coordination, and oversight.”



# Idea

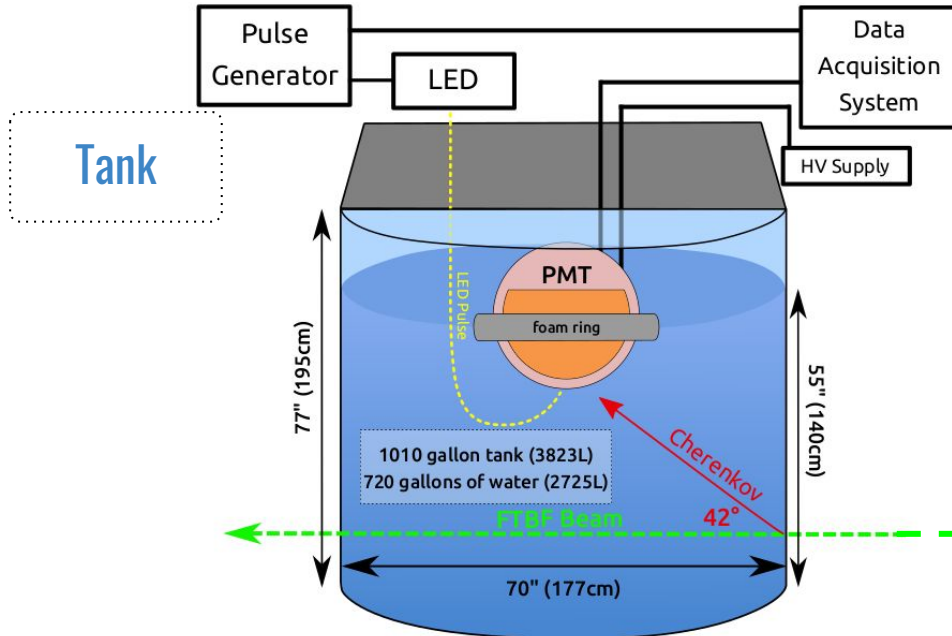


Beam of known particles from  
**Fermilab Test Beam Facility (FTBF)**  
<http://ftbf.fnal.gov/>

- Black tedlar film coats the inside and outside
- Filled with distilled water



# Idea



**Study PID between MIPs vs EM showers using waveforms of similar charge around a few GeV**

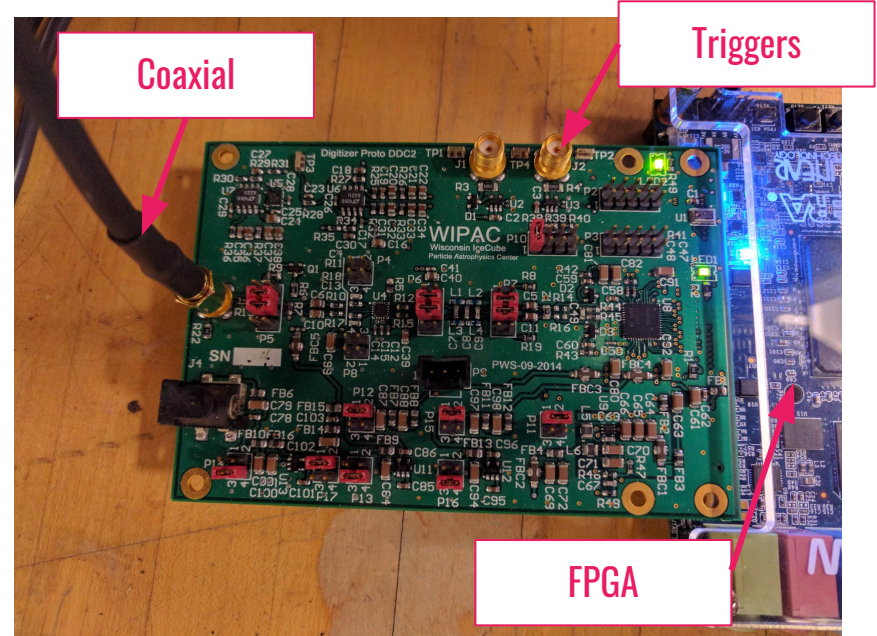
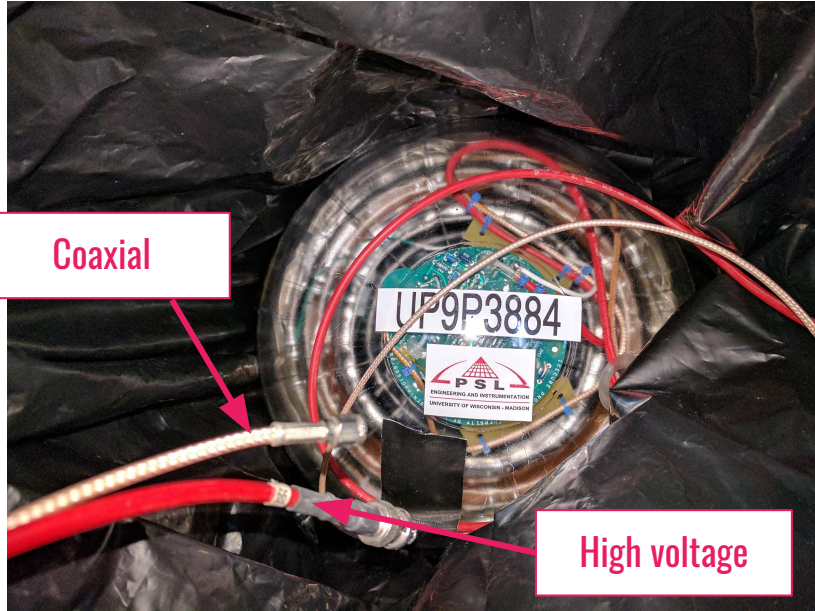
Beam of known particles from  
**Fermilab Test Beam Facility (FTBF)**  
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- Black tedlar film coats the inside and outside
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# Setup

DOM

DDC2

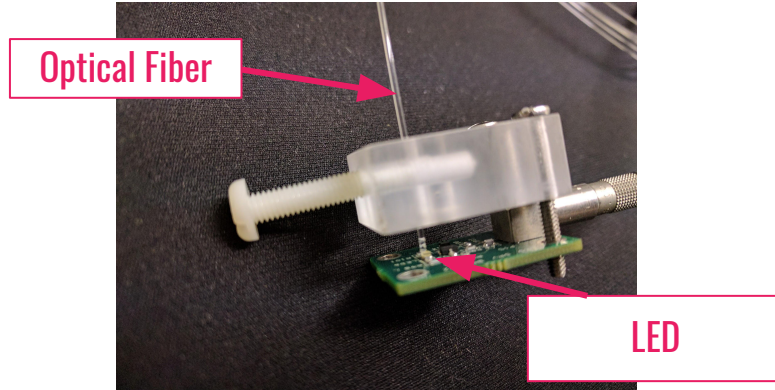
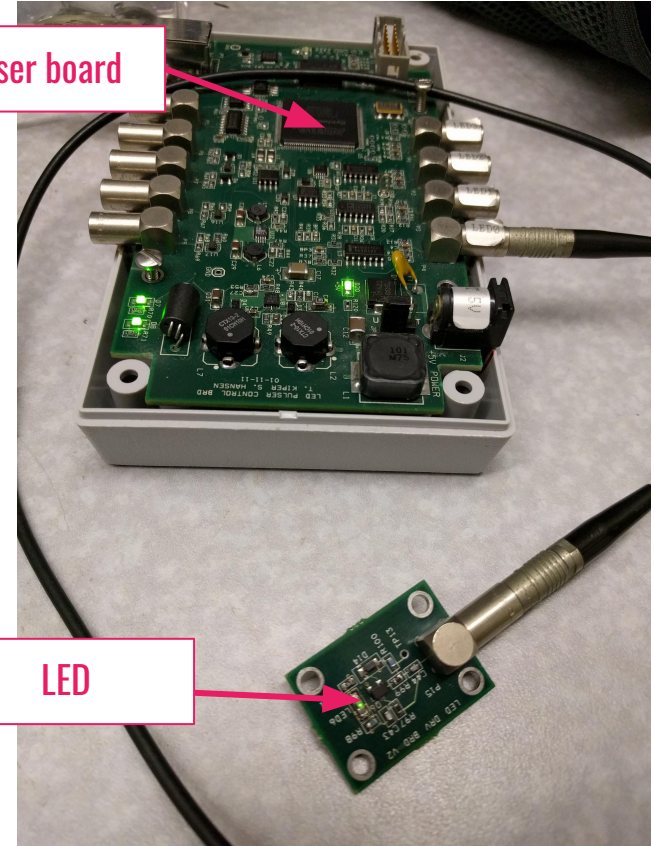




# Setup

## LED

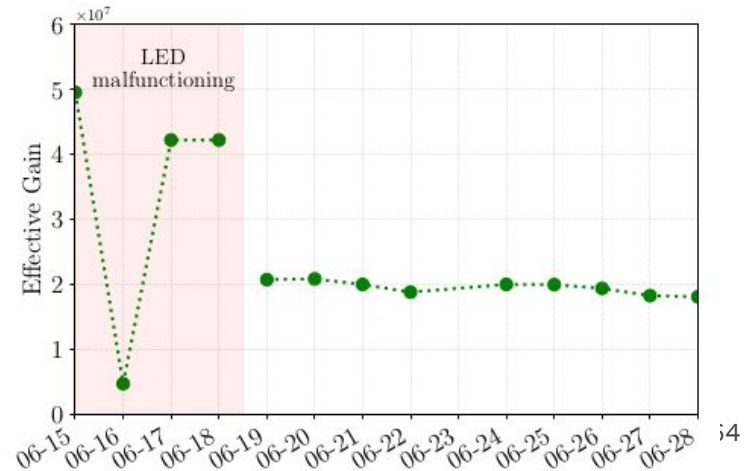
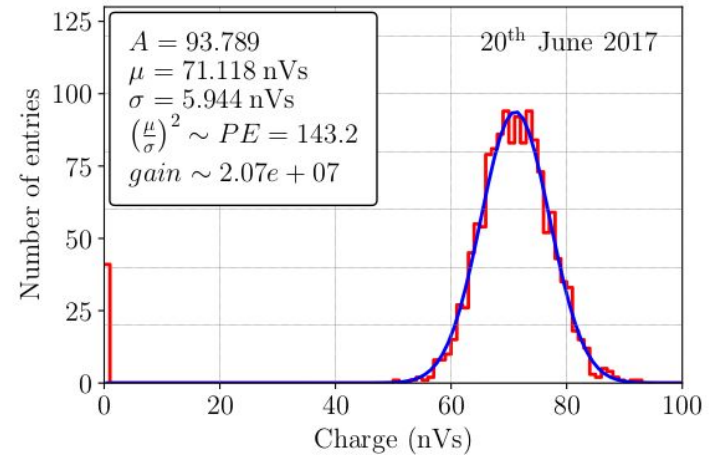
- Use a well-defined LED pulses to test DOM is operational and produces the expected signal waveform
- FPGA board allows dynamic control of the LED
- We couple the LED light pulse to an optical fiber



# Setup

## LED

- We can monitor the **gain** of the PMT by using the LED
  - ◆ Fit the charge distribution to a gaussian
  - ◆ Using poisson statistics, the number of PE hits and gain can be calculated
- This is useful to test the degradation of the water over the days when we have beam
  - ◆ Degradation of distilled water (i.e. changes in the water transparency) will affect the gain we measure on the PMT



# Setup

## Tank

- Delivered to FTBF
- Wrong colour! We were expecting a black tank
- We coated the inside and outside layers of the tank in a black Tedlar film



# Setup

## Tank

- Delivered to FTBF
- Wrong colour! We were expecting a black tank
- We coated the inside and outside layers of the tank in a black Tedlar film

Lid

Wires get fed through  
hole in the lid





# Setup

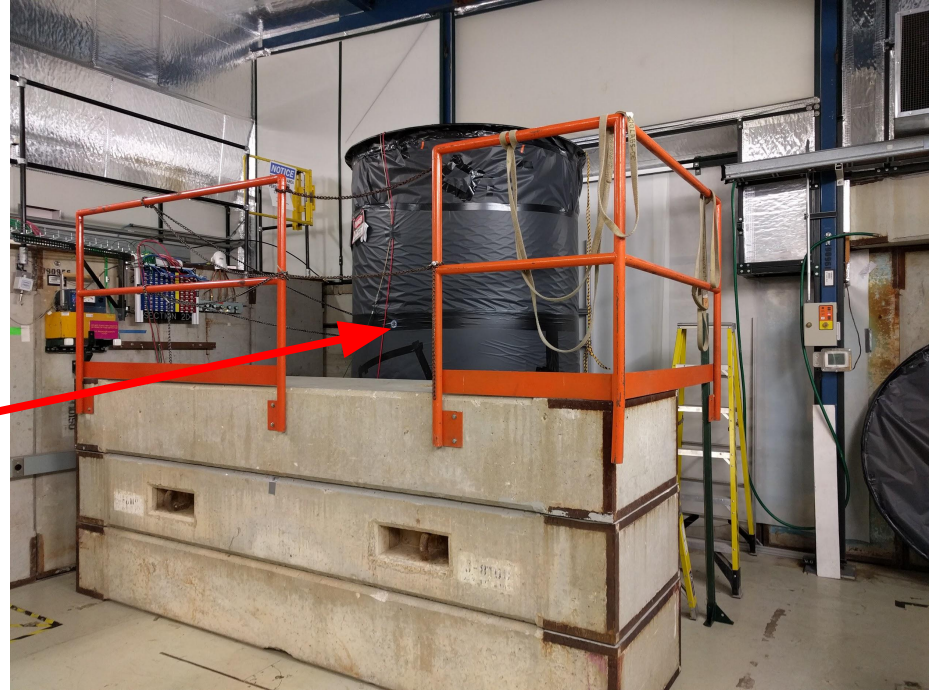
## Tank

→ Moved the tank into the MT6.2 enclosure

Crane



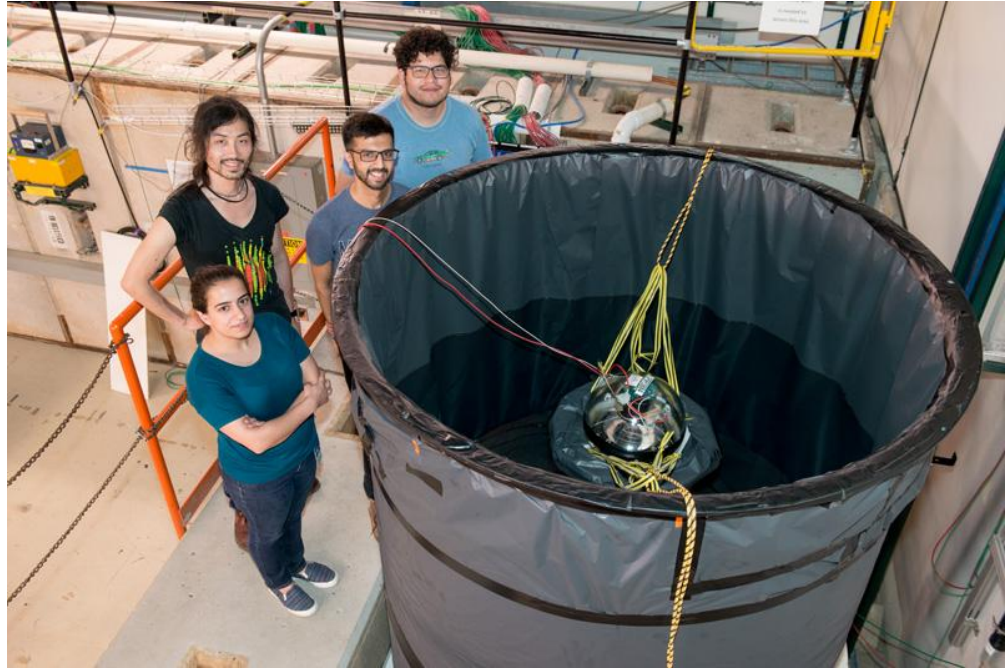
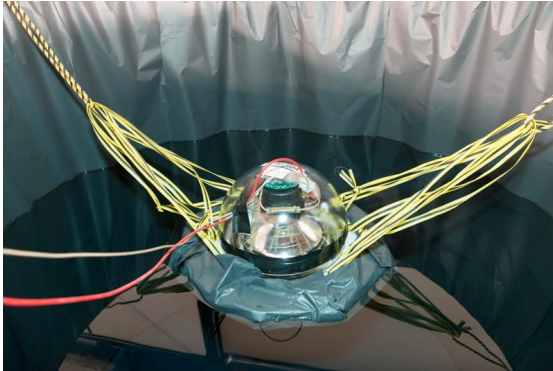
Beam





## Tank

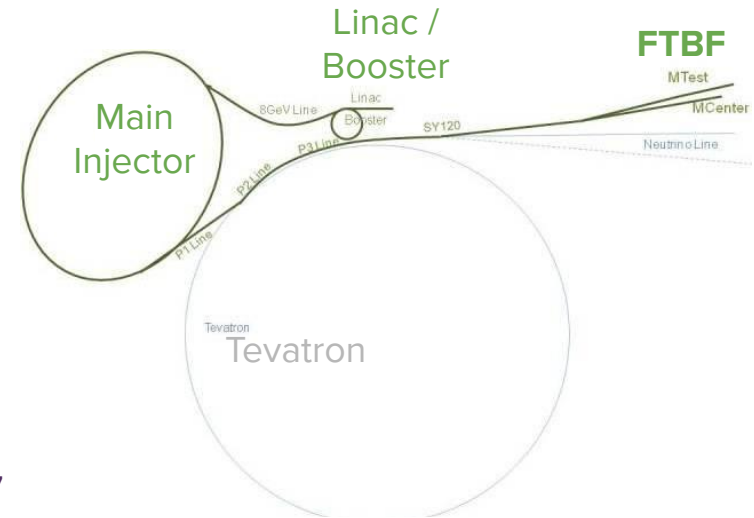
- Tank was filled with  $\sim 700$  gallons of distilled water
- DOM was placed at the centre of the tank using ropes



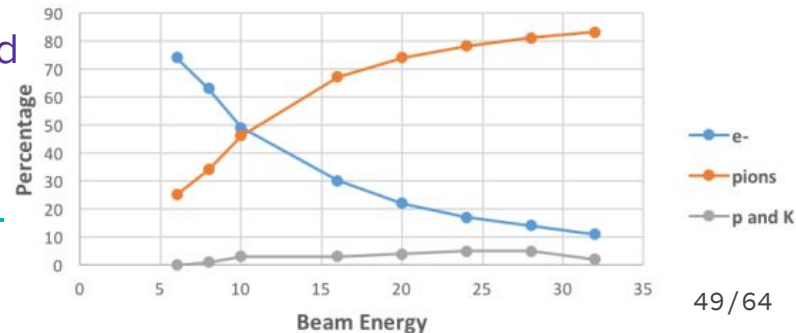
# FTBF

## Beam details:

- Using the secondary beamline at “MTest”
  - ◆ **(120 GeV:** Protons - primary beam from Main Injector
  - ◆ **8 - 60 GeV:** Pions, (some protons possible)
  - ◆ **1 - 32 GeV:** Pions, electrons, kaons, or broadband muons
- 4 second spill every 60 seconds
- Tunable rate (100 Hz - 100,000 Hz), beam available 24/7
- At 4GeV pions make up ~30% of the beam
  - ◆ This fraction gets smaller as energy is decreased
- Full details can be found:
  - ◆ <http://ftbf.fnal.gov/beam-overview/>

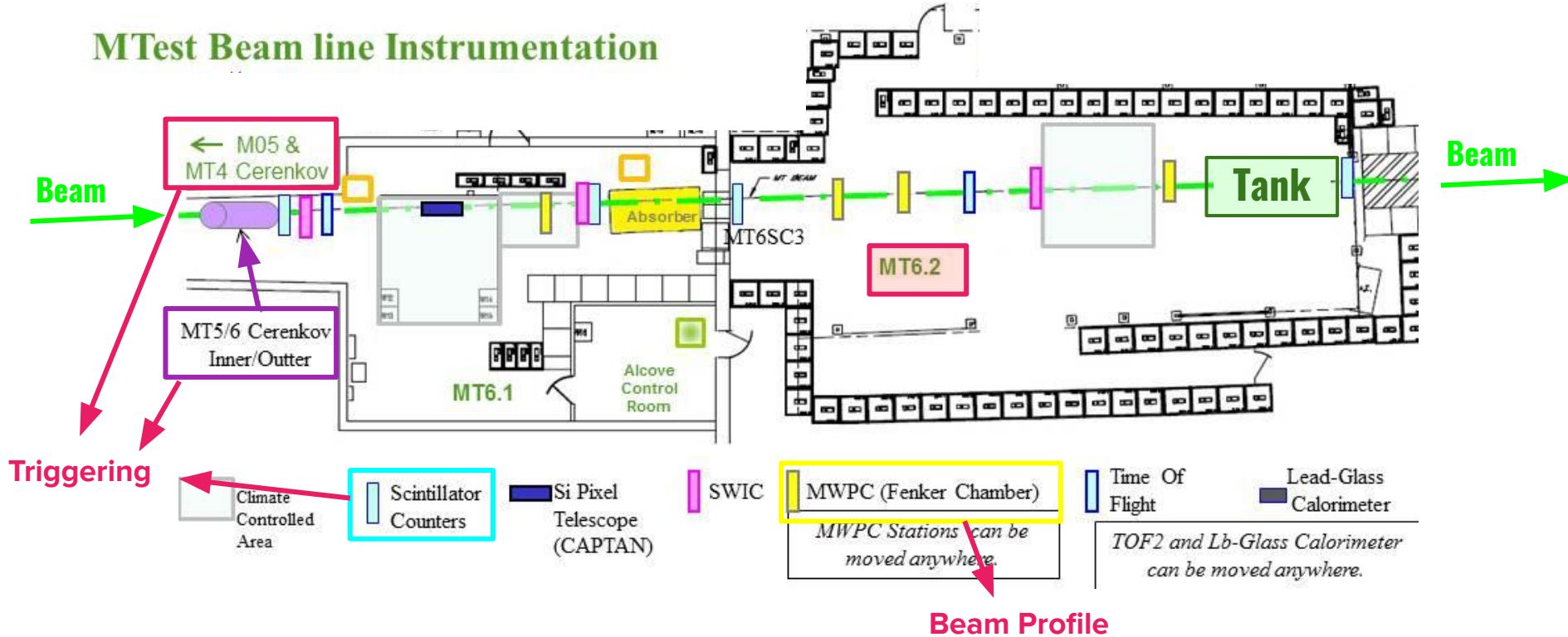


Negative Beams Composition, Open Collimators 2016



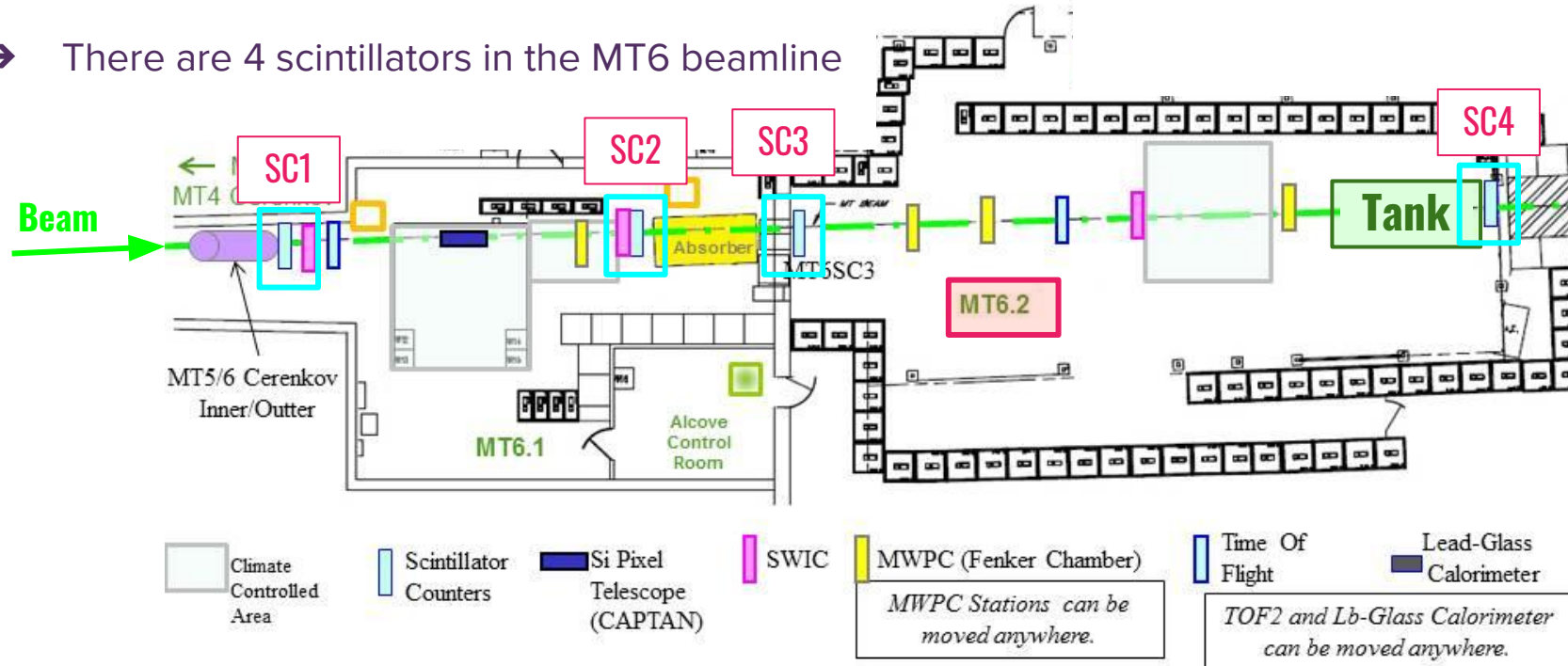
# FTBF

## MTest Beam line Instrumentation



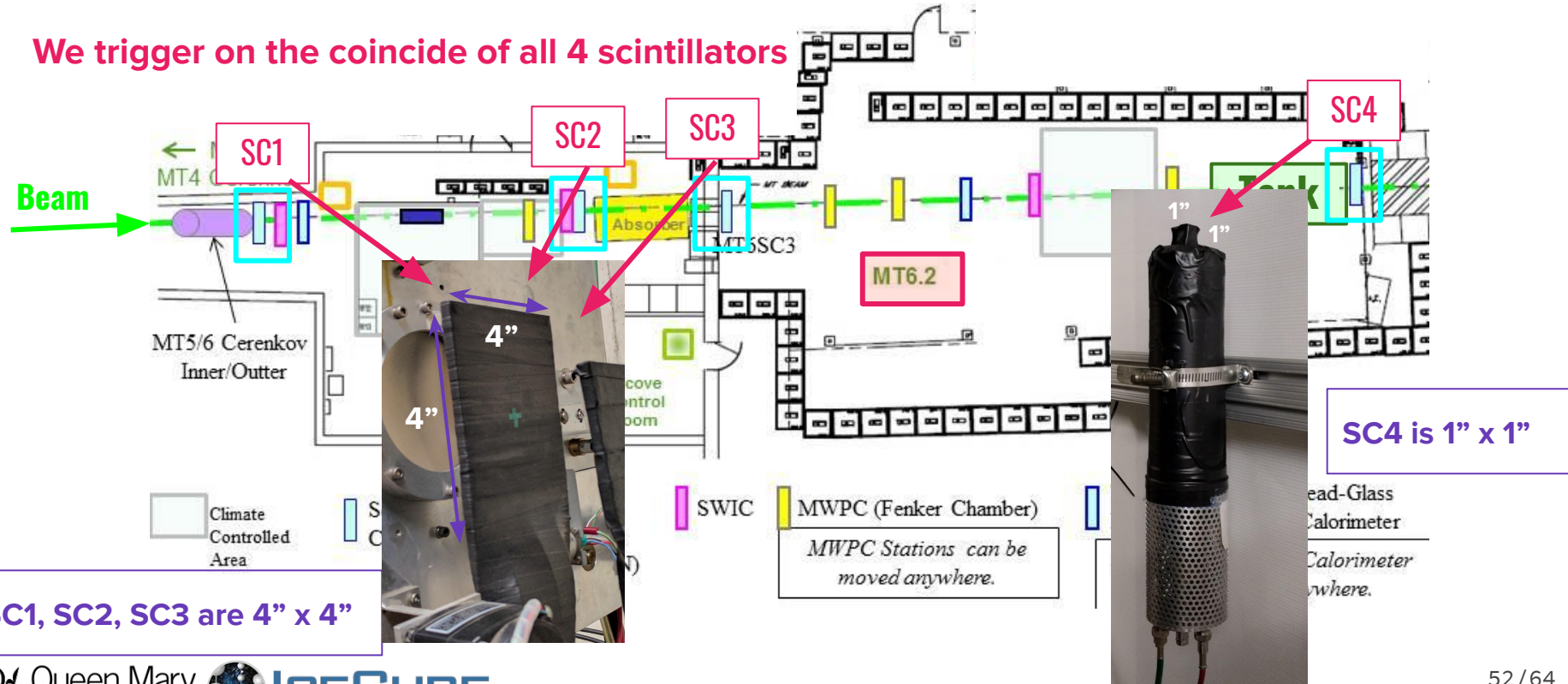
# Triggering

→ There are 4 scintillators in the MT6 beamline



# Triggering

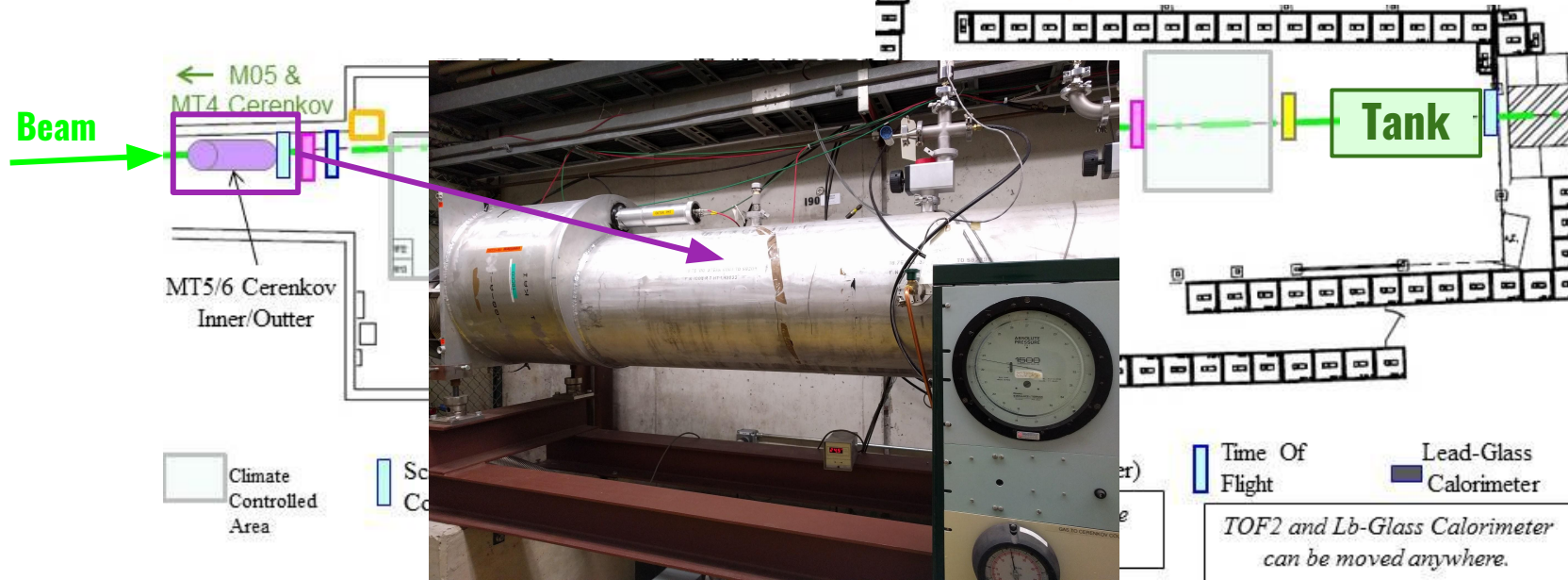
→ We trigger on the coincide of all 4 scintillators





# Triggering

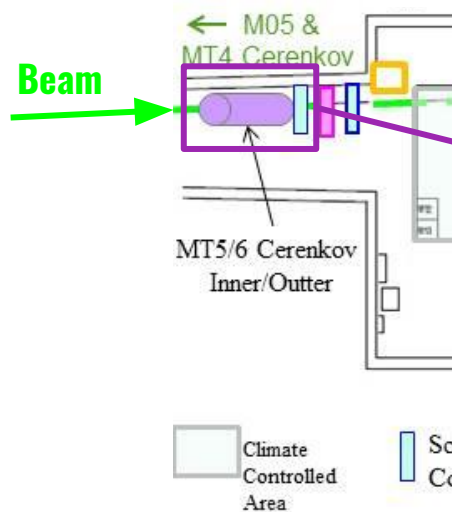
→ The **Cherenkov counter** is used as a **PID trigger**





# Triggering

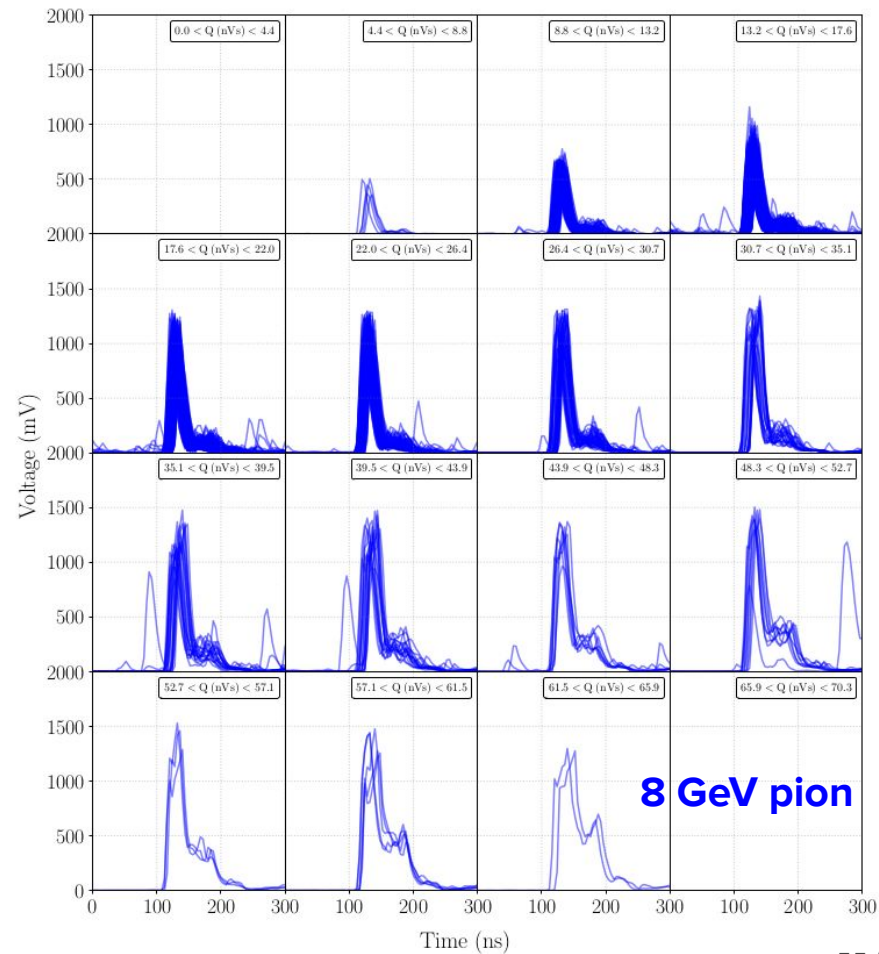
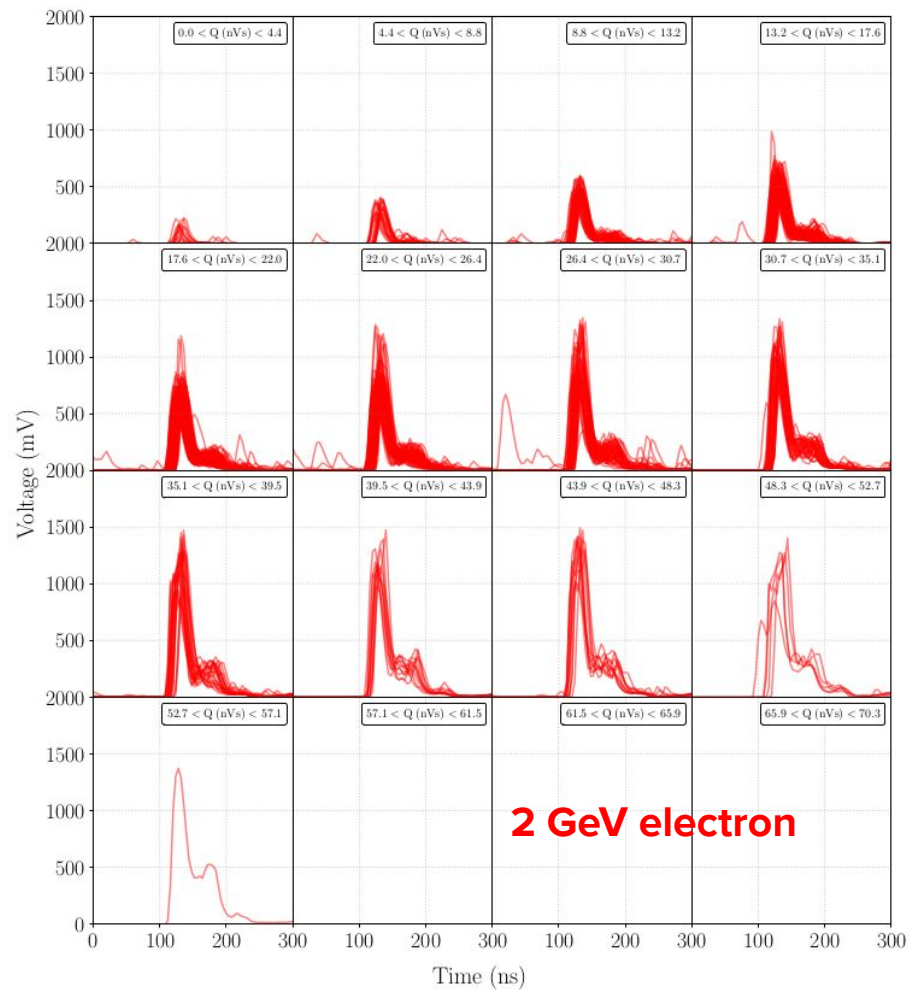
→ The **Cherenkov counter** is used as a **PID trigger**



→ We trigger on the Cherenkov counter to select **electrons**

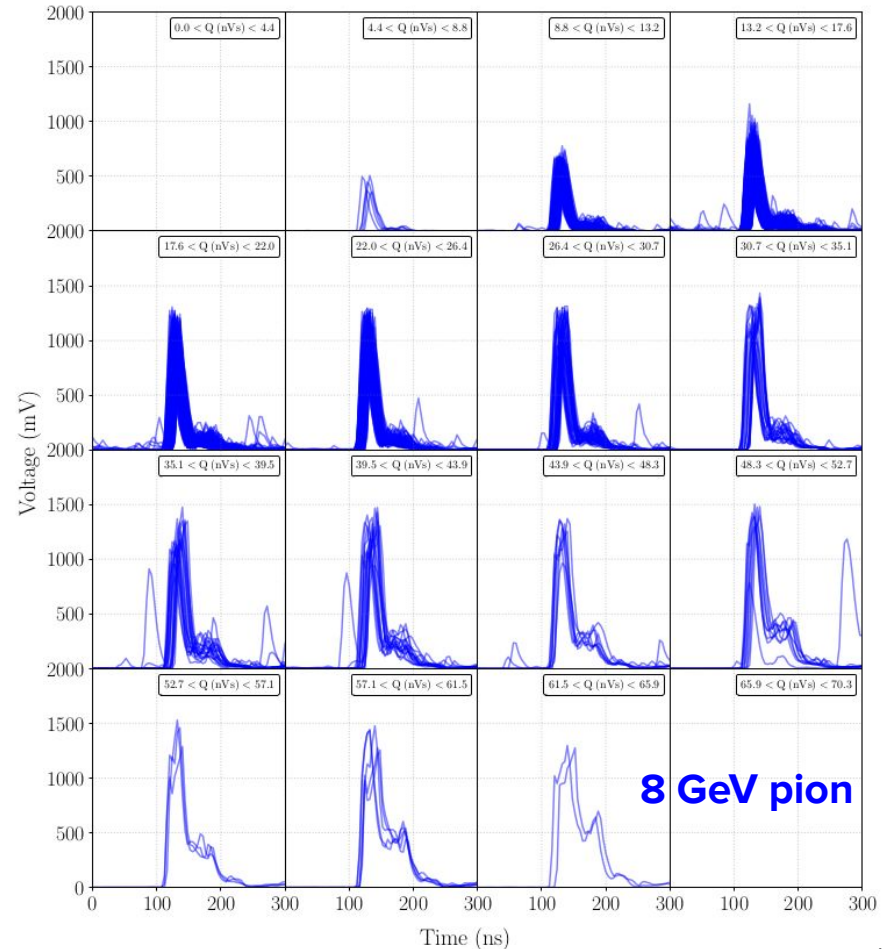
→ We can also do an **anti-coincidence** to trigger on everything **but electrons i.e. MIPs**

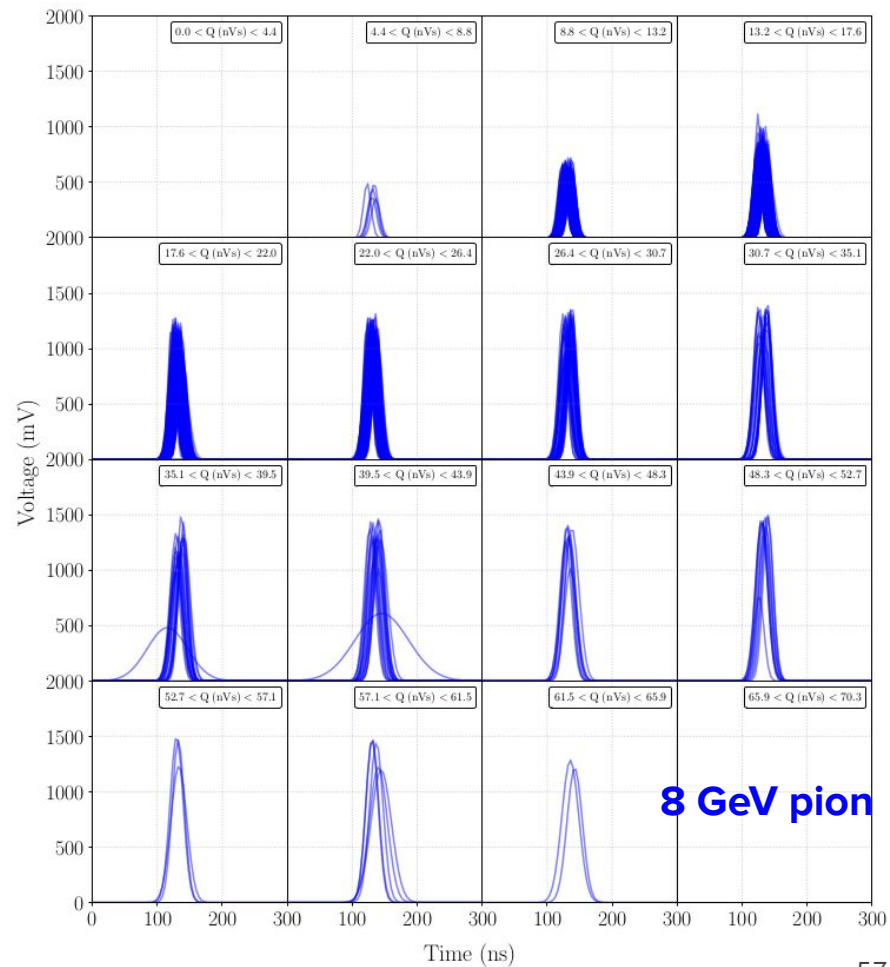
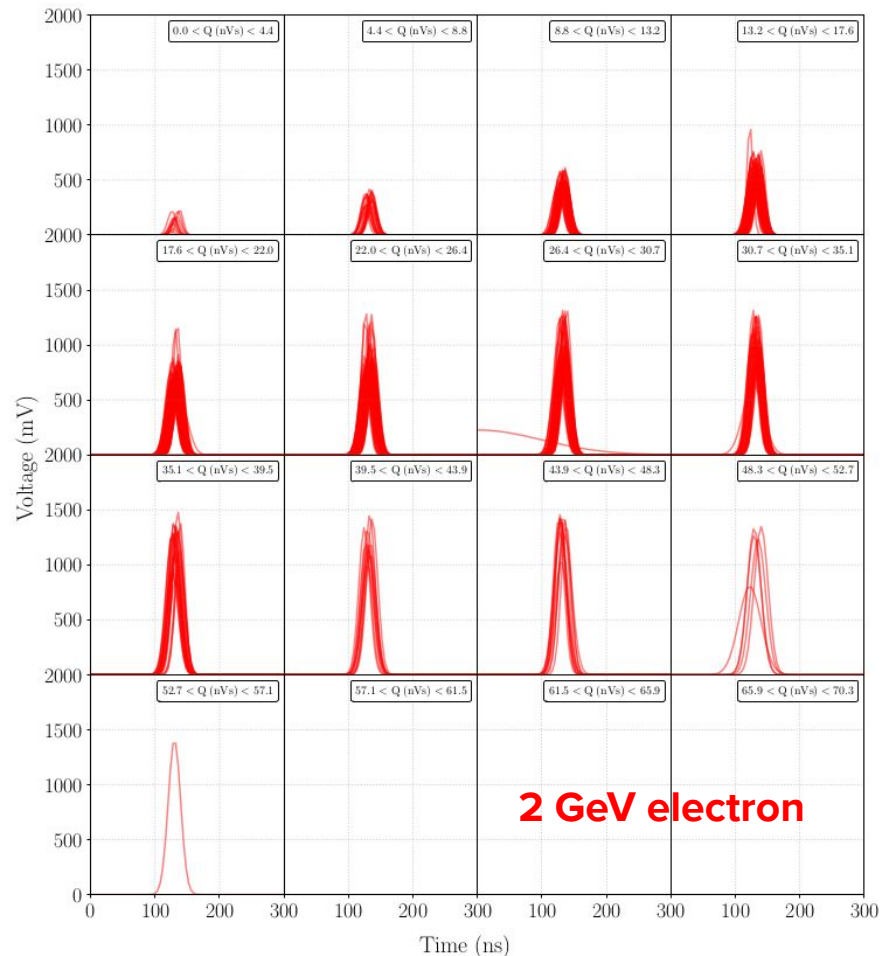
→ We use this in (anti-) coincidence with the **4 scintillators** for the final trigger



# Data

- Waveforms observe a **primary** and **secondary** peak
  - ◆ Secondary peak are due to **late pulses** which are caused by photoelectron backscattering off the dynodes
- We isolate the primary pulse by fitting the primary peak with a Gaussian





# Results

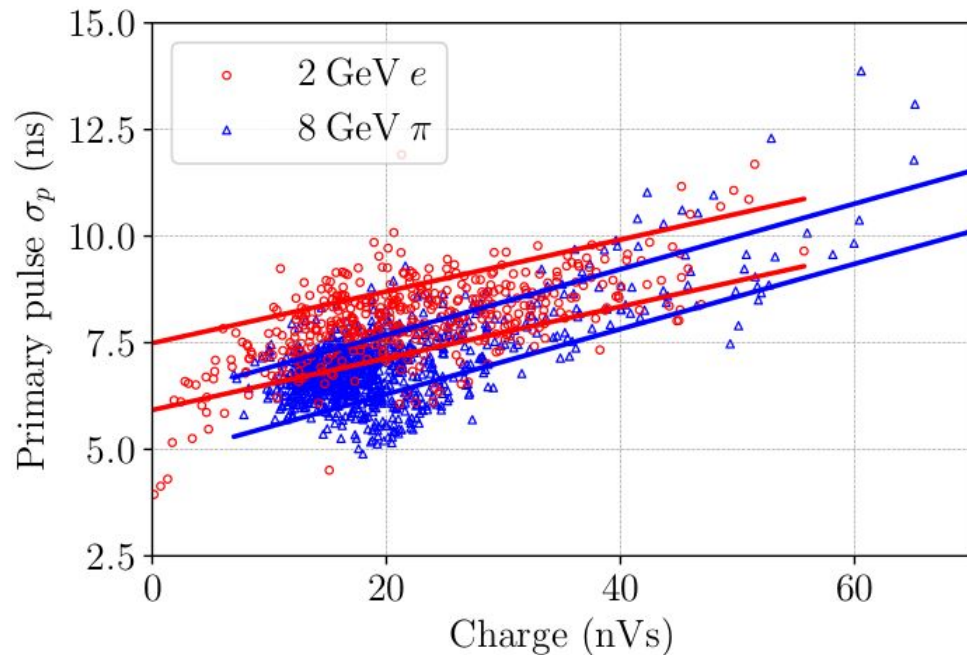
→ Comparing waveforms of a **similar charge**

◆ Discriminator is simply the **width of the primary pulse**

◆ Bands show the one sigma containment region

→ At **low charge**, there is a possible discrimination that can be made between 2 GeV electron and 8 GeV pions

→ These techniques can be applied to **future neutrino telescopes** focusing on low energy physics, such as the **IceCube-Upgrade**





# Hadronization in neutrino interactions

Sven Lidstrom, NSF



# Motivation

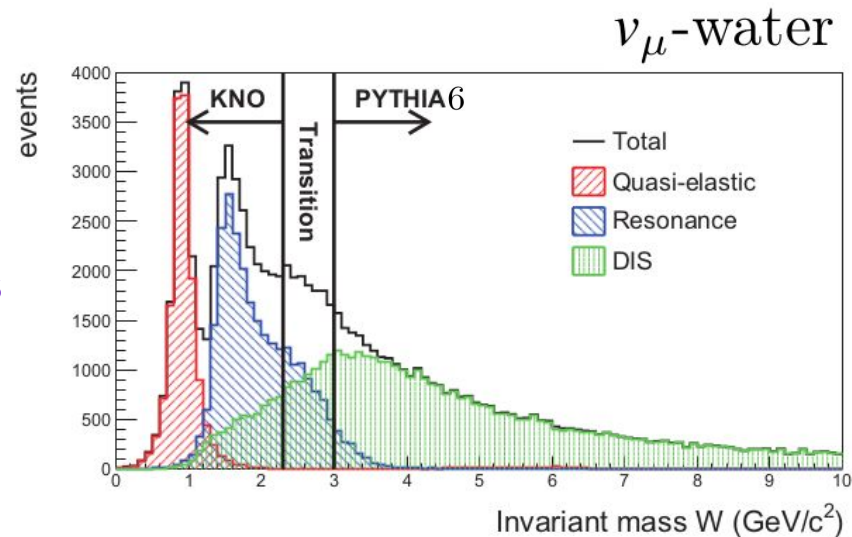
Hadronization is important at high energies when DIS dominates

→ High-energy atmospheric neutrinos

- ◆ IceCube (DeepCore) and IceCube-Upgrade
- ◆ few GeV to ~ 100 GeV oscillation physics
- ◆ Final state particles from hadronization affects total deposited energy

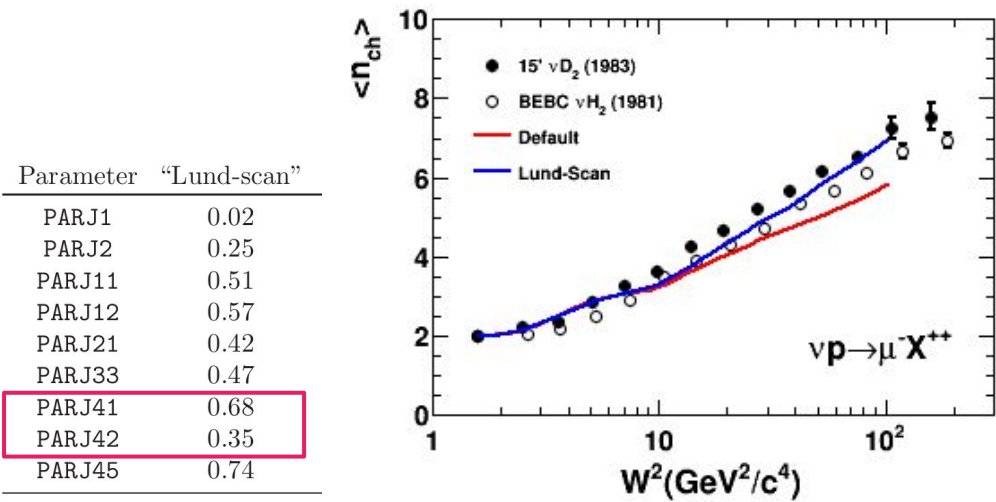
→ ~ TeV energy neutrinos

- ◆ Hadronization is important if GENIE is extended to the few TeV region (ongoing work)

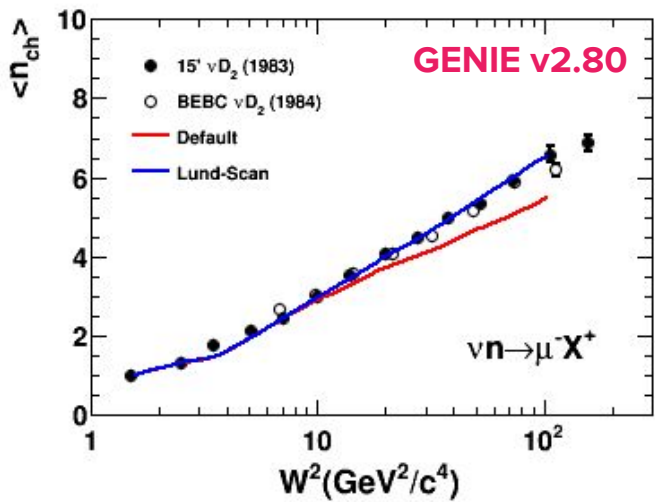


# Pythia 6 Tunings

HERMES (low energy) parameter sets have better agreements with the charged hadron multiplicity data



Parameter	"Lund-scan"
PARJ1	0.02
PARJ2	0.25
PARJ11	0.51
PARJ12	0.57
PARJ21	0.42
PARJ33	0.47
PARJ41	0.68
PARJ42	0.35
PARJ45	0.74
PARL3	(0.44)
PARJ23	(0.01)
PARJ24	(2.0)



	Default Pythia 6	Lund-Scan
PARJ41	0.30	0.68
PARJ42	0.58	0.35

# Pythia 8

**Pythia 6 is outdated and no longer supported!**

→ Upgrade the GENIE to use Pythia 8

- ◆ Directly affects the **Hadronization** and **Decay** routines
- ◆ Pythia 8 can be directly interfaced with in C++ so there is no need for ROOT wrapper classes (e.g. TPythia6, TMCParticle)

→ Incorporate different physics aspects of Pythia 8

- ◆ Physics which were absent in Pythia 6 e.g. beam remnant structure
- ◆ Tuning of Pythia 8 parameters to bubble chamber data

# Direct Translation to Pythia 8

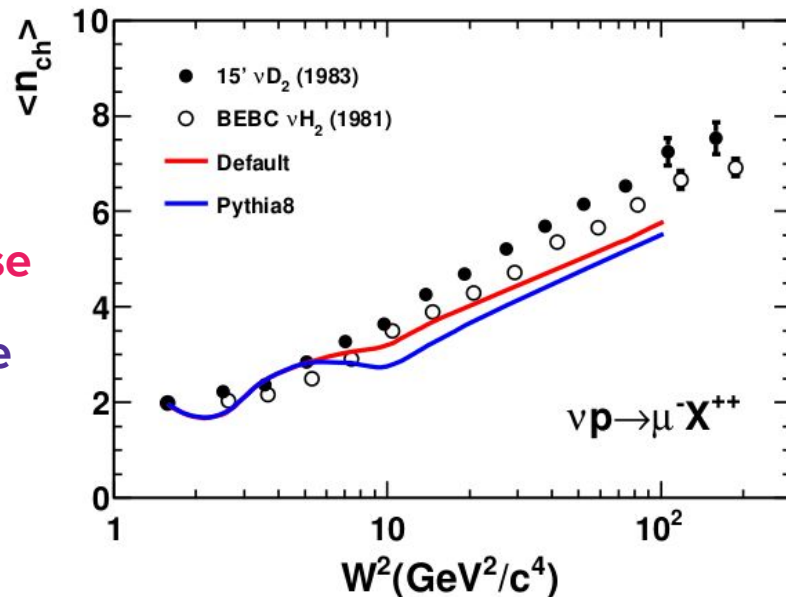
→ Showing averaged charged multiplicity

- ◆ Comparing “Default” Pythia 6 hadronization model with Pythia8
- ◆ Compared also to bubble chamber data

→ Will be implemented in next GENIE release

→ Both Pythia 6 and Pythia 8 underestimate bubble chamber data

- ◆ Pythia is tuned to high energy LHC experiments
- ◆ We have the technology needed to tune the hadronization model [1]





## Neutrino interferometry for high-precision tests of Lorentz symmetry with IceCube

The IceCube Collaboration\*

IceCube, Nature.Phys.14,961(2018)

T. Katori<sup>45\*</sup>, S. Mandalia<sup>16 45\*</sup>, J. Kiryluk<sup>46</sup>, M. Lesiak-Bzdak<sup>46</sup>, H. Niederhausen<sup>46</sup>, Y. Xu<sup>46</sup>, G. Kohnen<sup>47</sup>,

## PYTHIA hadronization process tuning in the GENIE neutrino interaction generator

J. Phys. G42, 115004 (2015)

Teppei Katori and Shivesh Mandalia

## Probe Of Sterile Neutrinos Using Astrophysical Neutrino Flavor

arXiv:1909.05341

Carlos A. Argüelles<sup>1,\*</sup>, Kareem Farrag<sup>2,3,†</sup>, Teppei Katori<sup>4,‡</sup>,  
Rishabh Khandelwal<sup>5,§</sup>, Shivesh Mandalia<sup>2,¶</sup> and Jordi Salvado<sup>6,\*\*</sup>

## Computational Techniques for the Analysis of Small Signals in High-Statistics Neutrino Oscillation Experiments

K. B. M. Mahn<sup>w</sup>, S. Mancina<sup>ah</sup>, S. Mandalia<sup>ad</sup>, S. Marka<sup>aq</sup>, Z. Marka<sup>aq</sup>, R. Maruyama<sup>ap</sup>,

arXiv:1803.05390

# Thanks for listening!

## Test of Lorentz Violation with Astrophysical Neutrino Flavor in IceCube

arXiv:1906.09240

Teppei Katori<sup>1</sup>, Carlos A. Argüelles<sup>2</sup>, Kareem Farrag<sup>1,3</sup>, and Shivesh Mandalia<sup>1</sup>

## First look at the PYTHIA8 hadronization program for neutrino interaction generators

JPS Conf. Proc. 12, 010033 (2016)

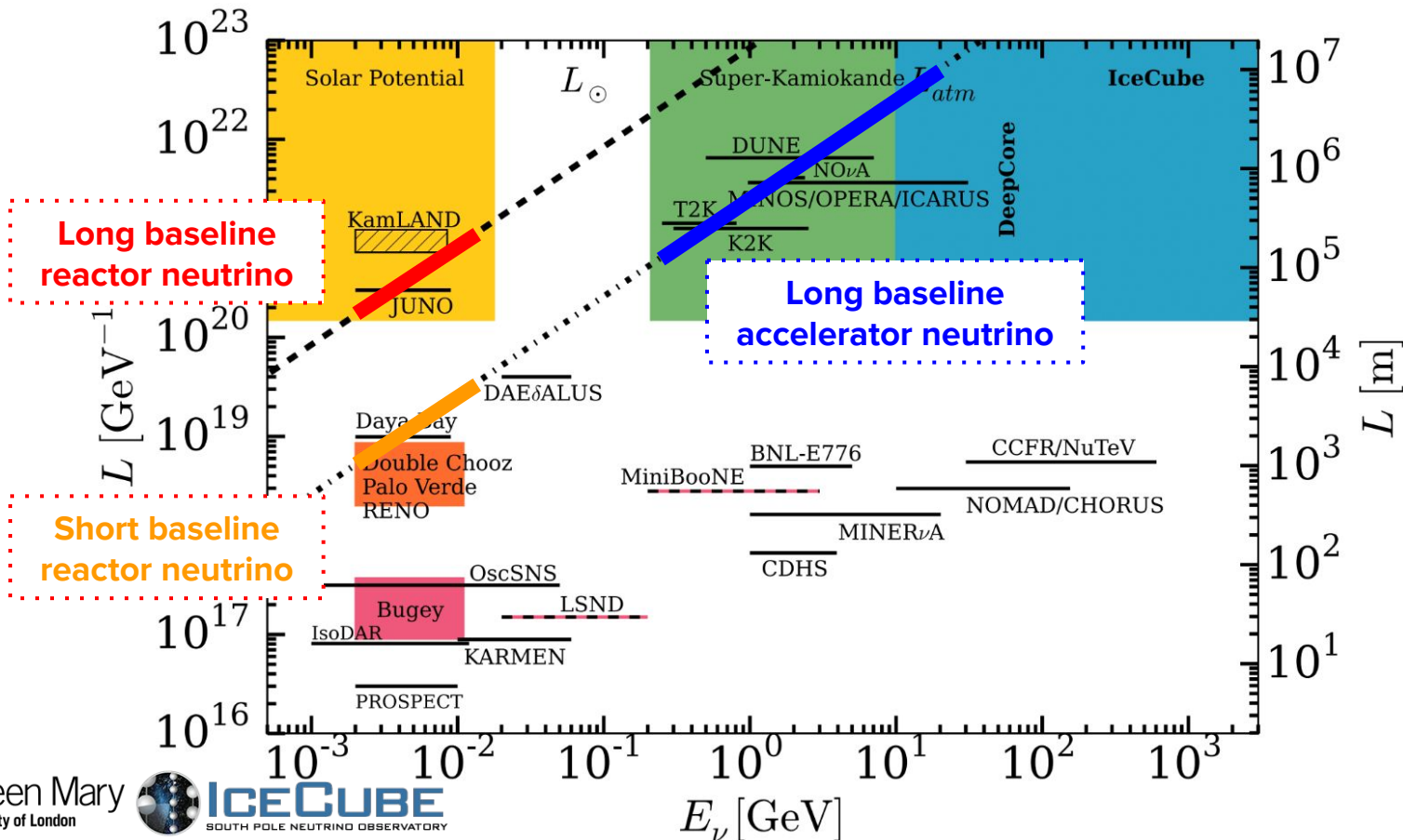
Teppei KATORI, Pierre LASORAK, Shivesh MANDALIA, and Ryan TERRI

## Quest for new physics using astrophysical neutrino flavor in IceCube

arXiv:1908.07602

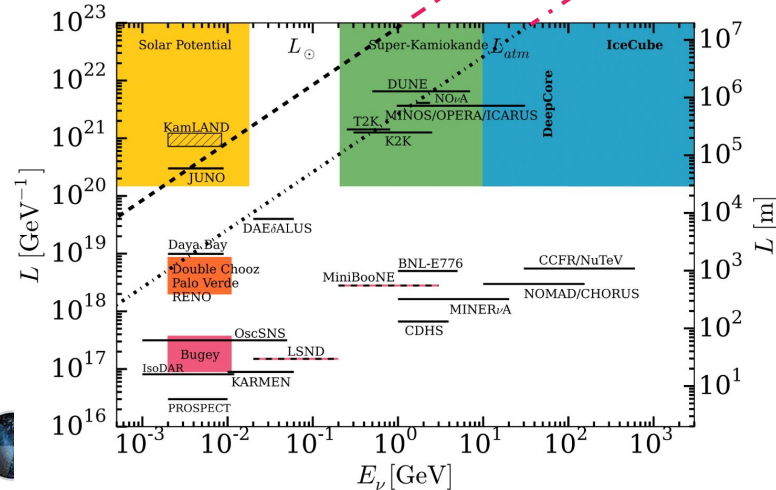
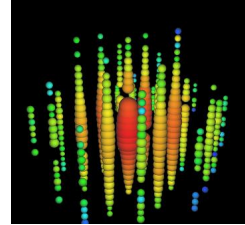
Corresponding authors: Carlos A. Argüelles<sup>1</sup>, Kareem Farrag<sup>†2,3</sup>, Teppei Katori<sup>2</sup>, Shivesh Mandalia<sup>2</sup>

# Terrestrial Oscillation Measurement Landscape



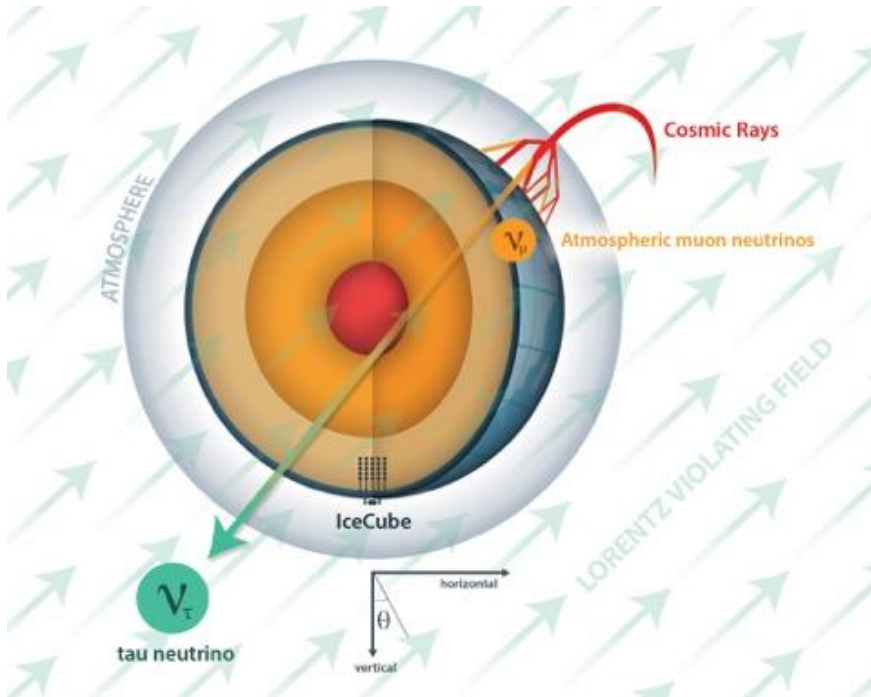
# Astrophysical Frontier?

> Mpc (~Andromeda)

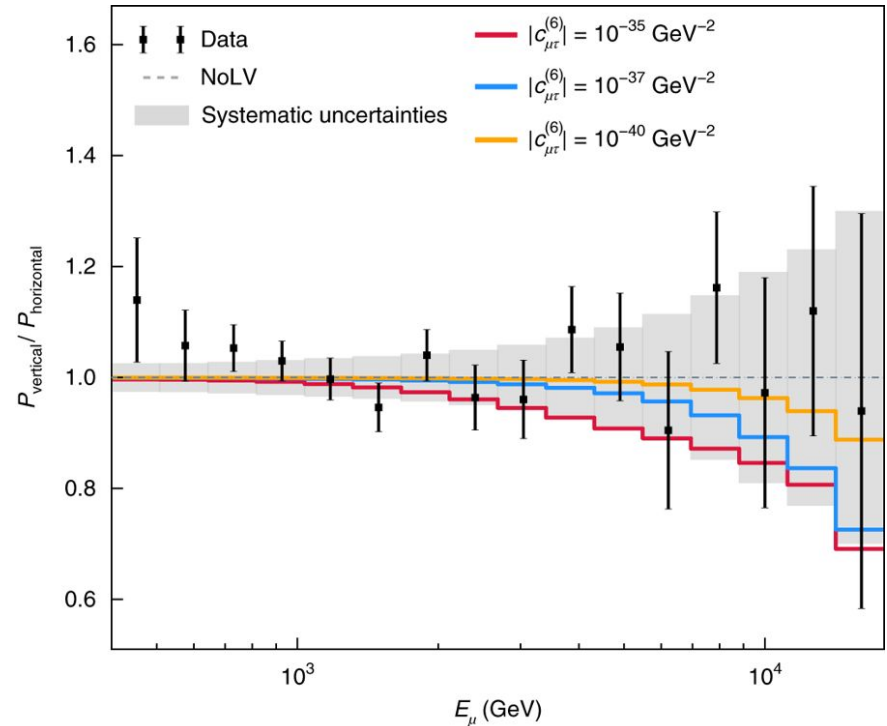


> 100 TeV

# Neutrino interferometry for high-precision tests of Lorentz symmetry



Concept of spectrum distortion



Expected  $P(\text{vertical})/P(\text{horizontal})$   
for dimension 6 LV operator

# Neutrino interferometry for high-precision tests of Lorentz symmetry

## Binning

**400 GeV < E < 18 TeV (“conventional”)**  
**-1 < cos(zenith) < 0 (“up-going”)**

very similar to 2016 sterile neutrino analysis sample  
[https://icecube.wisc.edu/science/data/HE\\_NuMu\\_diffuse](https://icecube.wisc.edu/science/data/HE_NuMu_diffuse)

- Atmospheric neutrinos from **MCEq**  
<https://github.com/afedynitch/MCEq>
- Simple power law for astrophysical neutrinos
- DIS cross section from **CSS** paper
- Analytical oscillation formula

## Simulation

## Normalization of flux:

1. conventional (40%)
2. prompt (free)
3. astrophysical (free)

## Sytematics

**6 nuisance  
params**

## Flux components:

1. primary cosmic ray index (2%)
2. astrophysical neutrinos index (25%)
3. p/K ratio for conventional flux (10%)

$$H \sim \frac{m^2}{2E} + \boxed{\overset{\circ}{a}(3)} - E \cdot \boxed{\overset{\circ}{c}(4)} + E^2 \cdot \boxed{\overset{\circ}{a}(5)} - E^3 \cdot \boxed{\overset{\circ}{c}(6)} \dots (1)$$

$$\boxed{\overset{\circ}{c}(6)} = \begin{pmatrix} \boxed{\overset{\circ}{c}_{\mu\mu}(6)} & \boxed{\overset{\circ}{c}_{\mu\tau}(6)} \\ \boxed{\overset{\circ}{c}_{\mu\tau}(6)^*} & -\boxed{\overset{\circ}{c}_{\mu\mu}(6)} \end{pmatrix} (2)$$

## LV Params

Perform fit for the **3 LV  
params** for each dimension

- Likelihood with Wilk’s theorem (our main results)
- **Bayesian Markov Chain Monte Carlo**  
<http://dan.iel.fm/emcee/current>

## Fit Methods



dim.	method	type	sector	limits	ref.	dalia
3	CMB polarization	astrophysical	photon	$\sim 10^{-43}$ GeV	[6]	10-17
	He-Xe comagnetometer	tabletop	neutron	$\sim 10^{-34}$ GeV	[10]	
	torsion pendulum	tabletop	electron	$\sim 10^{-31}$ GeV	[12]	
	muon g-2	accelerator	muon	$\sim 10^{-24}$ GeV	[13]	
neutrino oscillation		atmospheric	neutrino	$ \text{Re}(\hat{a}_{\mu\tau}^{(3)}) ,  \text{Im}(\hat{a}_{\mu\tau}^{(3)}) $	$< 2.9 \times 10^{-24}$ GeV (99% C.L.) $< 2.0 \times 10^{-24}$ GeV (90% C.L.)	this work
4	GRB vacuum birefringence	astrophysical	photon	$\sim 10^{-38}$	[7]	
	Laser interferometer	LIGO	photon	$\sim 10^{-22}$	[8]	
	Sapphire cavity oscillator	tabletop	photon	$\sim 10^{-18}$	[5]	
	Ne-Rb-K comagnetometer	tabletop	neutron	$\sim 10^{-29}$	[11]	
	trapped $\text{Ca}^+$ ion	tabletop	electron	$\sim 10^{-19}$	[14]	
neutrino oscillation		atmospheric	neutrino	$ \text{Re}(\hat{c}_{\mu\tau}^{(4)}) ,  \text{Im}(\hat{c}_{\mu\tau}^{(4)}) $	$< 3.9 \times 10^{-28}$ (99% C.L.) $< 2.7 \times 10^{-28}$ (90% C.L.)	this work
5	GRB vacuum birefringence	astrophysical	photon	$\sim 10^{-34}$ GeV $^{-1}$	[7]	
	ultra-high-energy cosmic ray	astrophysical	proton	$\sim 10^{-22}$ to $10^{-18}$ GeV $^{-1}$	[9]	
neutrino oscillation		atmospheric	neutrino	$ \text{Re}(\hat{a}_{\mu\tau}^{(5)}) ,  \text{Im}(\hat{a}_{\mu\tau}^{(5)}) $	$< 2.3 \times 10^{-32}$ GeV $^{-1}$ (99% C.L.) $< 1.5 \times 10^{-32}$ GeV $^{-1}$ (90% C.L.)	this work
6	GRB vacuum birefringence	astrophysical	photon	$\sim 10^{-31}$ GeV $^{-2}$	[7]	
	ultra-high-energy cosmic ray	astrophysical	proton	$\sim 10^{-42}$ to $10^{-35}$ GeV $^{-2}$	[9]	
	gravitational Cherenkov radiation	astrophysical	gravity	$\sim 10^{-31}$ GeV $^{-2}$	[15]	
neutrino oscillation		atmospheric	neutrino	$ \text{Re}(\hat{c}_{\mu\tau}^{(6)}) ,  \text{Im}(\hat{c}_{\mu\tau}^{(6)}) $	$< 1.5 \times 10^{-36}$ GeV $^{-2}$ (99% C.L.) $< 9.1 \times 10^{-37}$ GeV $^{-2}$ (90% C.L.)	this work
7	GRB vacuum birefringence	astrophysical	photon	$\sim 10^{-28}$ GeV $^{-3}$	[7]	
	neutrino oscillation	atmospheric	neutrino	$ \text{Re}(\hat{a}_{\mu\tau}^{(7)}) ,  \text{Im}(\hat{a}_{\mu\tau}^{(7)}) $	$< 8.3 \times 10^{-41}$ GeV $^{-3}$ (99% C.L.) $< 3.6 \times 10^{-41}$ GeV $^{-3}$ (90% C.L.)	this work
8	gravitational Cherenkov radiation	astrophysical	gravity	$\sim 10^{-46}$ GeV $^{-4}$	[15]	
	neutrino oscillation	atmospheric	neutrino	$ \text{Re}(\hat{c}_{\mu\tau}^{(8)}) ,  \text{Im}(\hat{c}_{\mu\tau}^{(8)}) $	$< 5.2 \times 10^{-45}$ GeV $^{-4}$ (99% C.L.) $< 1.4 \times 10^{-45}$ GeV $^{-4}$ (90% C.L.)	this work

TABLE I: Comparison of attainable best limits of SME coefficients in various fields. IceCube, Nature.Phy.14,961(2018)

# Back of the Envelope Sensitivity

Binning = [60 TeV - 10 PeV] 20 bins

$$H_d = \boxed{\frac{1}{2E} U M^2 U^\dagger} + \boxed{\frac{E^{d-3}}{\Lambda_d} \tilde{U}_d O_d \tilde{U}_d^\dagger} = V_d(E) \Delta V_d^\dagger(E)$$

**DIM 3**

$$\boxed{\frac{1}{E} \cdot 10^{-21} \text{GeV}} \sim \boxed{\frac{1}{\Lambda}}$$

**60 TeV ~ 1E4 GeV**

$$\Lambda_3^{-1} \sim 10^{-25} \text{GeV}$$

**10 PeV = 1E7 GeV**

$$\Lambda_3^{-1} \sim 10^{-28} \text{GeV}$$

$$10^{-25} \text{GeV} < \Lambda_3^{-1} < 10^{-28} \text{GeV}$$

**DIM 6**

$$\boxed{\frac{1}{E} \cdot 10^{-21} \text{GeV}} \sim \boxed{\frac{E^3}{\Lambda}}$$

**60 TeV ~ 1E4 GeV**

$$\Lambda_6^{-1} \sim 10^{-37} \text{GeV}^{-2}$$

**10 PeV = 1E7 GeV**

$$\Lambda_6^{-1} \sim 10^{-49} \text{GeV}^{-2}$$

$$10^{-37} \text{GeV}^{-2} < \Lambda_6^{-1} < 10^{-49} \text{GeV}^{-2}$$

# Markov Chain Monte Carlo

$$p(D) = \int p(D | \Theta, \alpha) \cdot p(\Theta, \alpha) d\Theta d\alpha$$

The goal is to obtain the posterior distribution marginalised over your nuisance parameters

The diagram illustrates the components of the Bayesian inference process. It features a light purple rounded rectangle containing the following elements:

- Joint Posterior:** A dashed box at the top left containing the equation  $p(\Theta, \alpha | D) = \frac{p(D | \Theta, \alpha) \cdot p(\Theta, \alpha)}{p(D)}$ . Arrows point from the 'Likelihood' and 'Prior' boxes to the numerator of this equation.
- Likelihood:** A dashed box at the top center containing the text 'Likelihood'.
- Prior:** A dashed box at the top right containing the text 'Prior'.
- Marginal Likelihood:** A dashed box at the bottom left containing the text 'Marginal Likelihood'. An arrow points from the denominator  $p(D)$  of the Joint Posterior equation to this box.
- Marginalised Posterior:** A dashed box at the bottom center containing the equation  $p(\Theta | D) = \int p(\Theta, \alpha | D) d\alpha$ .

A horizontal dotted line separates the Joint Posterior equation from the Marginalised Posterior equation.

**Problem:** In a multidimensional space, the integral over the nuisance parameters is difficult to perform directly

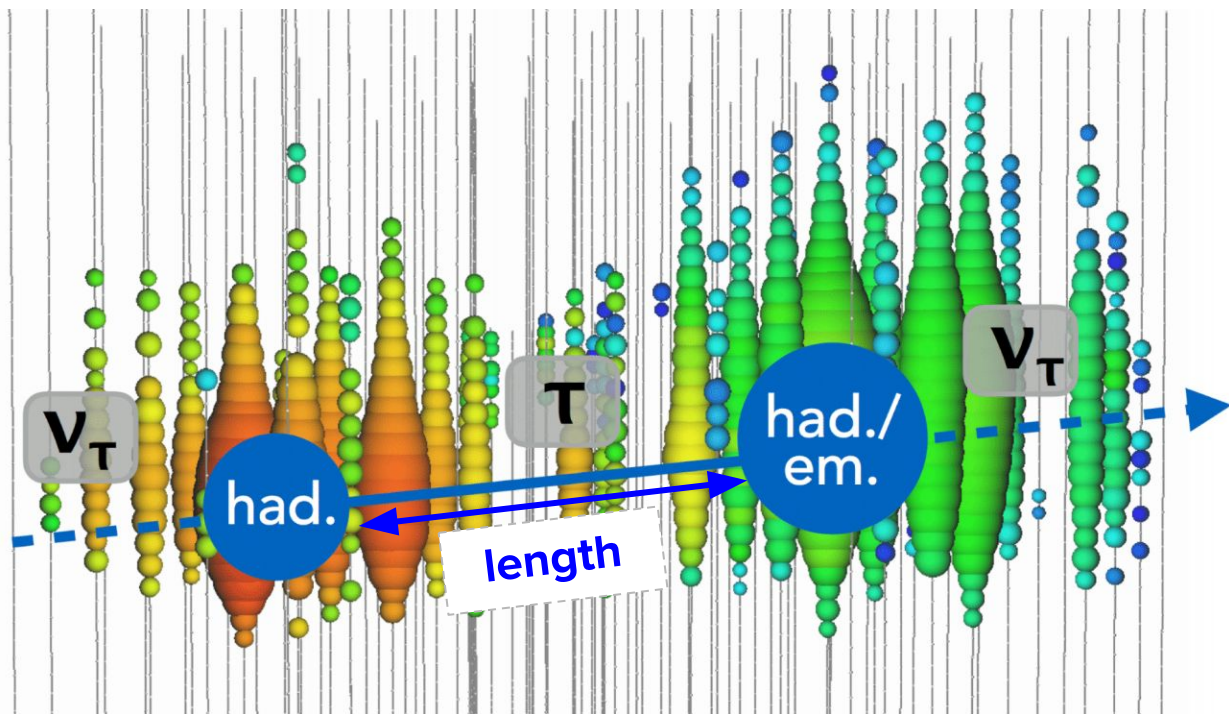
**Instead:** Use an MCMC to sample over the joint posterior, after which the sampled points can be integrated over in a more straightforward way to obtain the marginalised posterior

# Flavour Identification

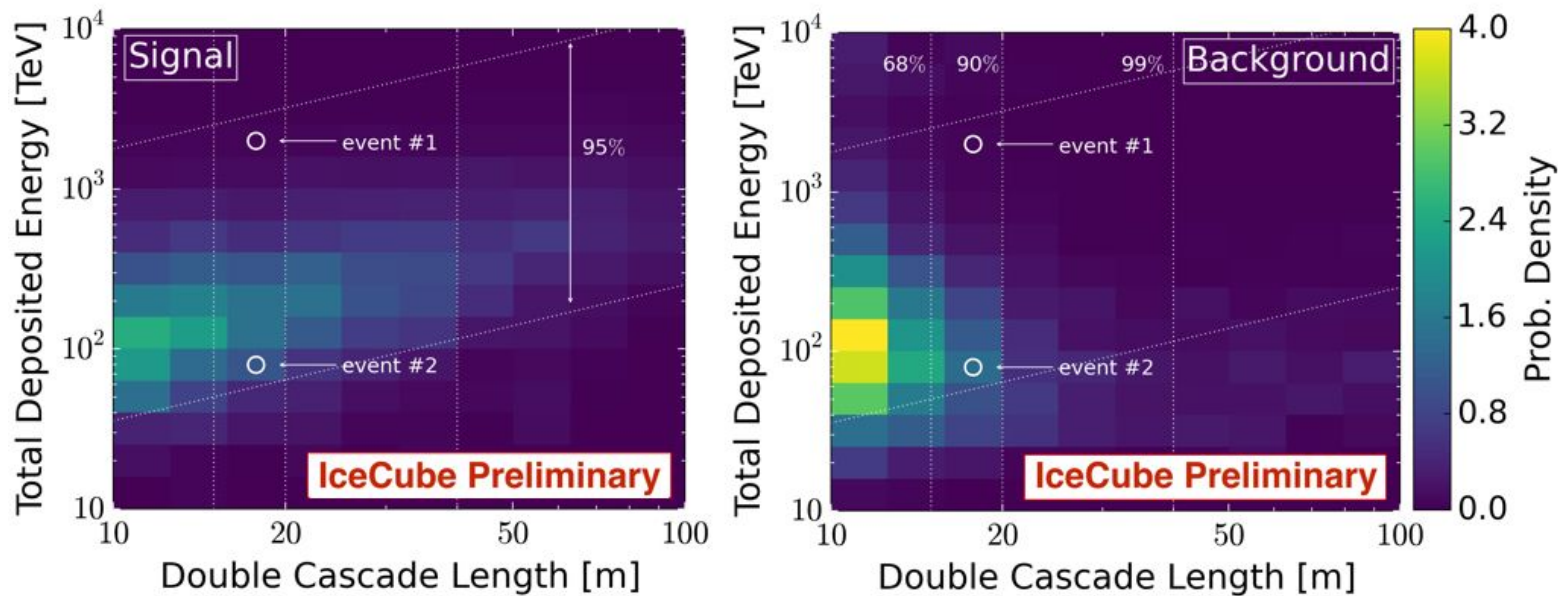
Updated search for  
astrophysical tau neutrinos  
with starting events with  
double cascades!

Require:

- Both cascades with  $E > 1$  TeV
- Separation distance  $> 10$  m



# Two Candidate Events



- Two double cascade events have been identified!
- Could have arisen from  $\nu_\tau$  or background (astro. or atm.)
- Dedicated studies are on-going

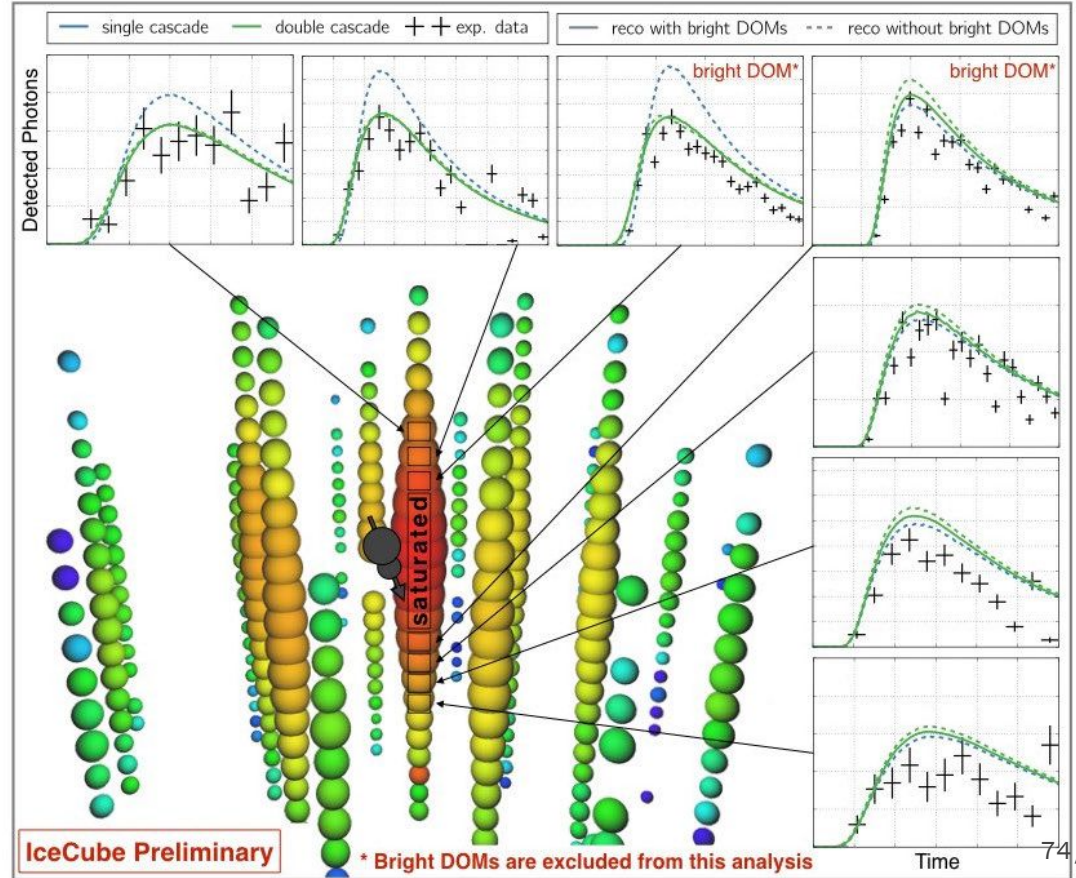


# Two Candidate Events

## Candidate Event 1

Some preference for double cascade over single cascade based on DOM-to-DOM charge distributions

Dedicated studies ongoing

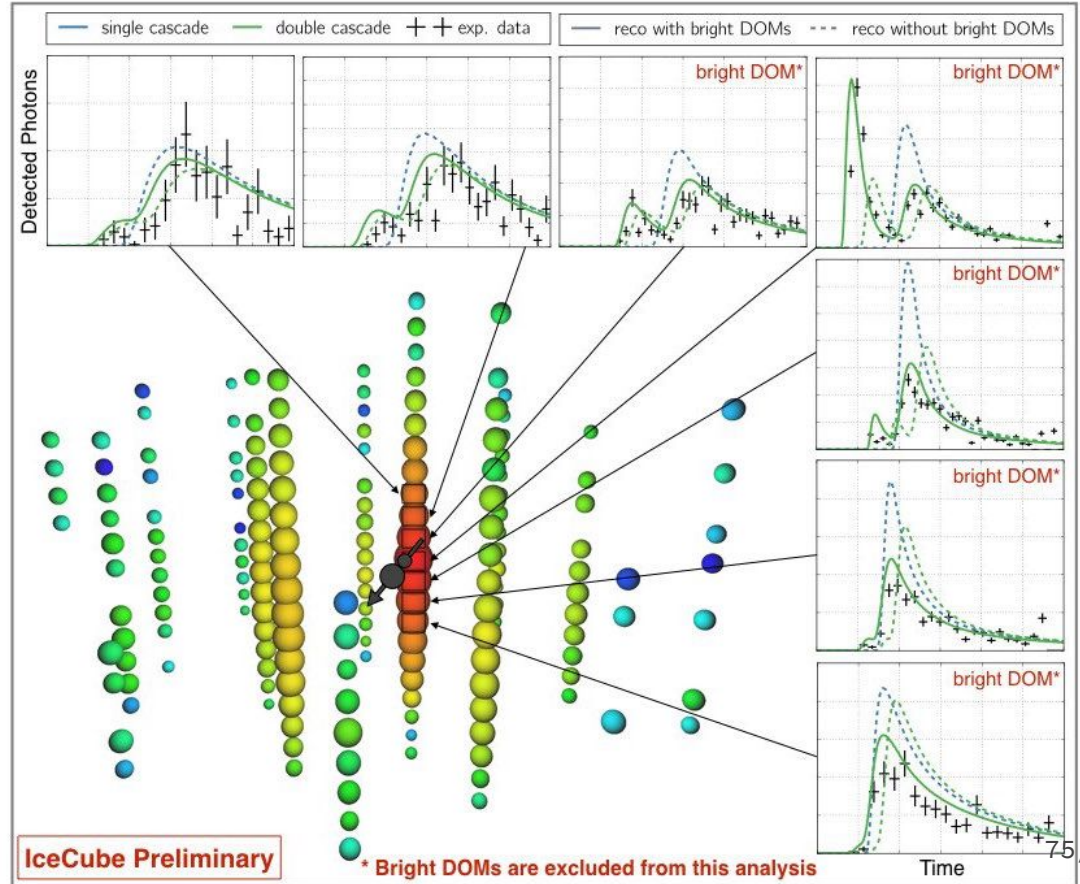


# Two Candidate Events

## Candidate Event 2

**Strong** preference for double cascade over single cascade based on DOM-to-DOM charge distributions

Dedicated studies ongoing



# Setup

## DOM

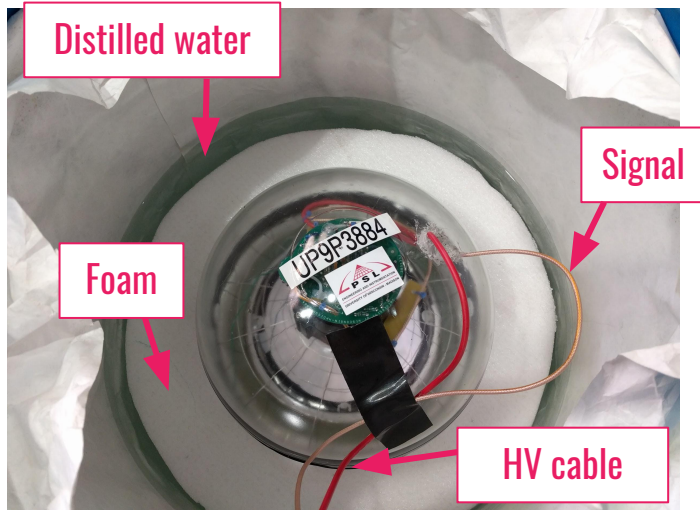
- DOM must be made water-tight
- Penetrator was closed up by using grey RTV glue



# Setup

## DOM

- DOM must be made water-tight
- Penetrator was closed up by using grey RTV glue
- Used a 55-gallon drum filled with distilled water as test

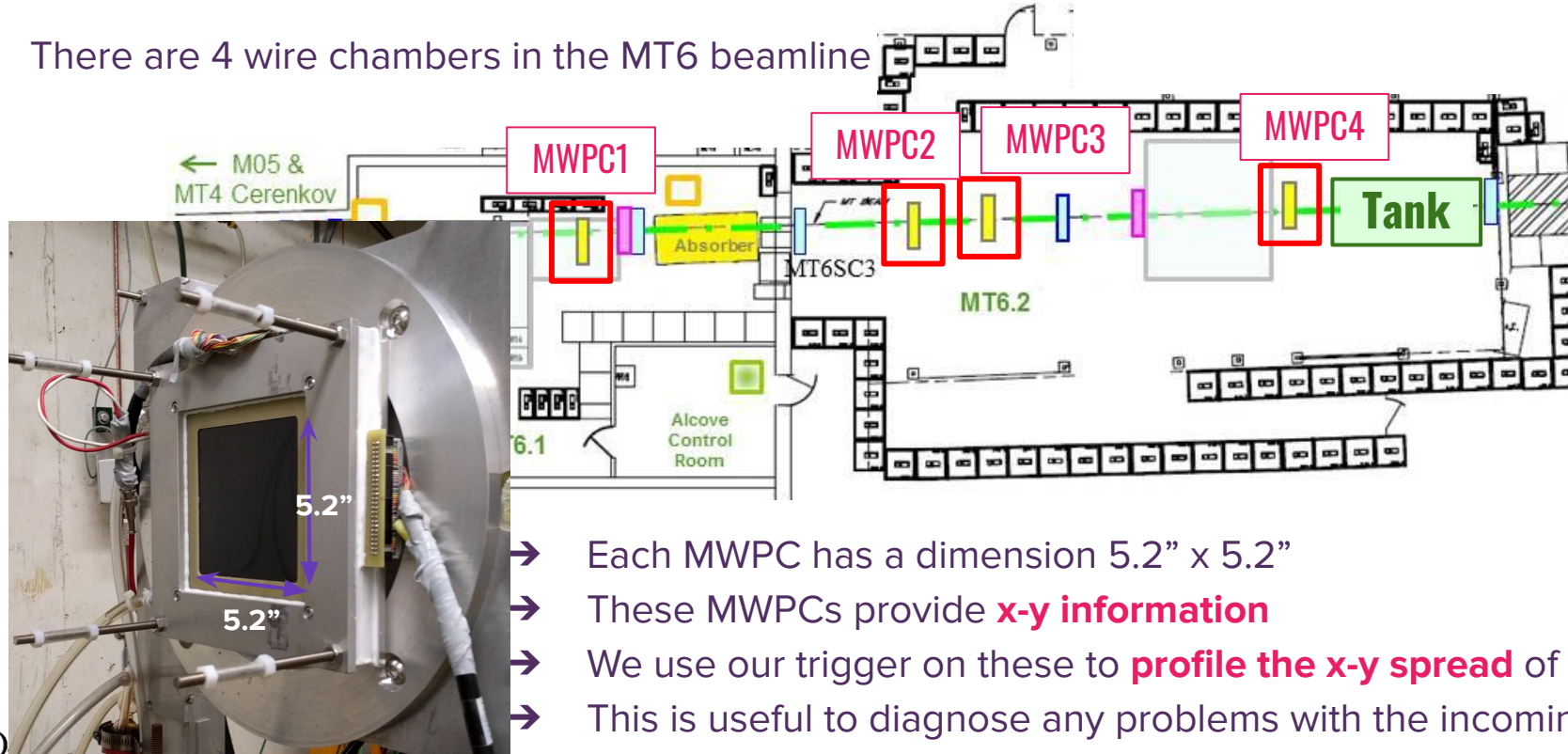


- DOM is very buoyant!
- For stability we made a foam structure which houses the DOM - “floating island”



# Beam Profile

→ There are 4 wire chambers in the MT6 beamline



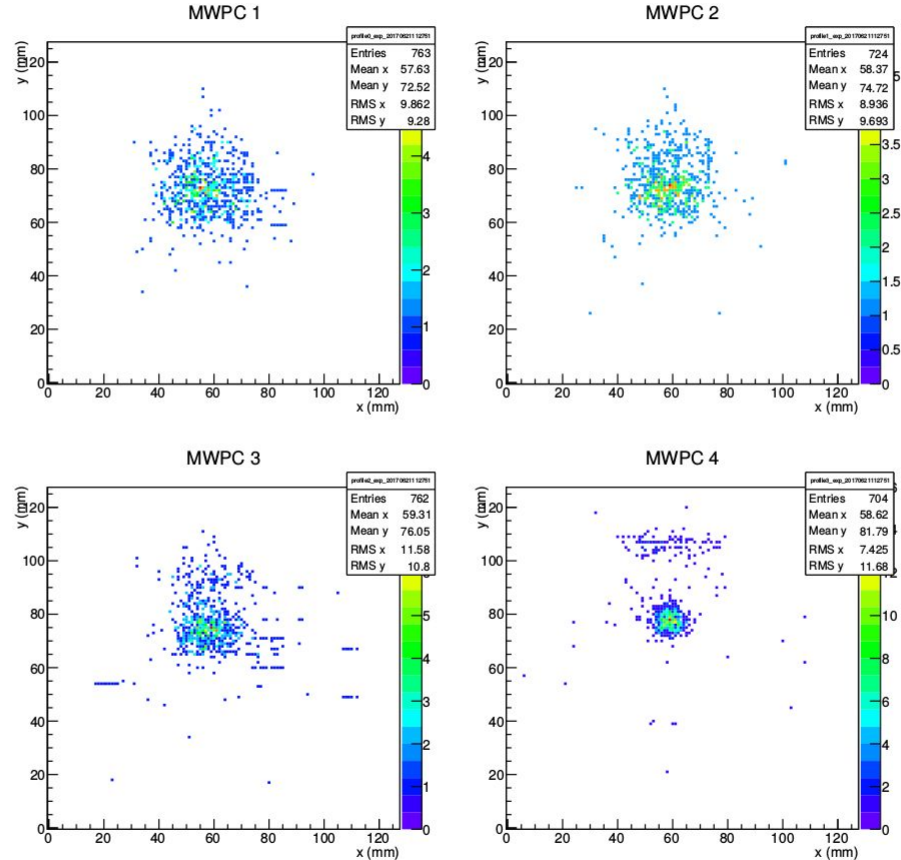
- Each MWPC has a dimension 5.2" x 5.2"
- These MWPCs provide **x-y information**
- We use our trigger on these to **profile the x-y spread** of the beam
- This is useful to diagnose any problems with the incoming beam

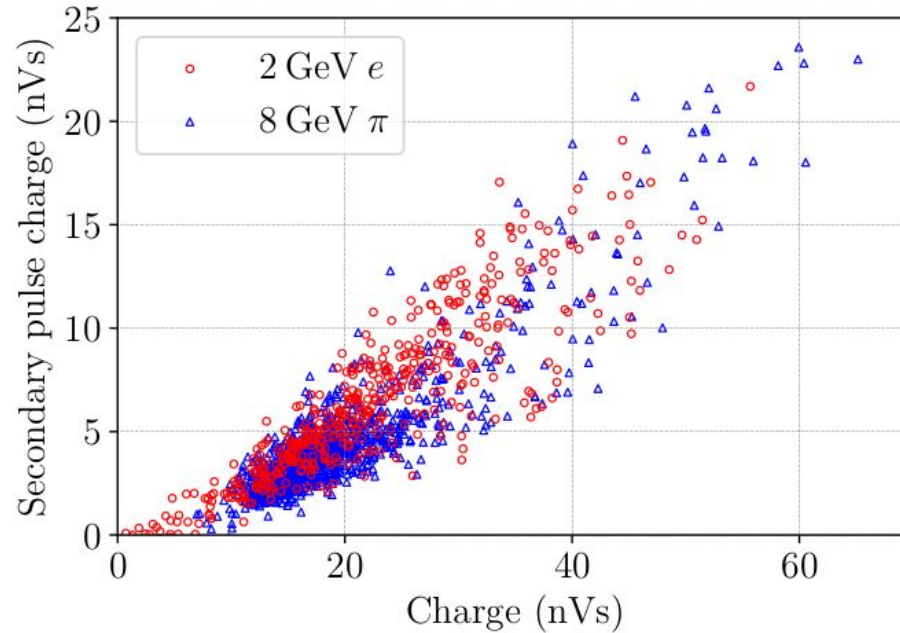


# Beam Profile

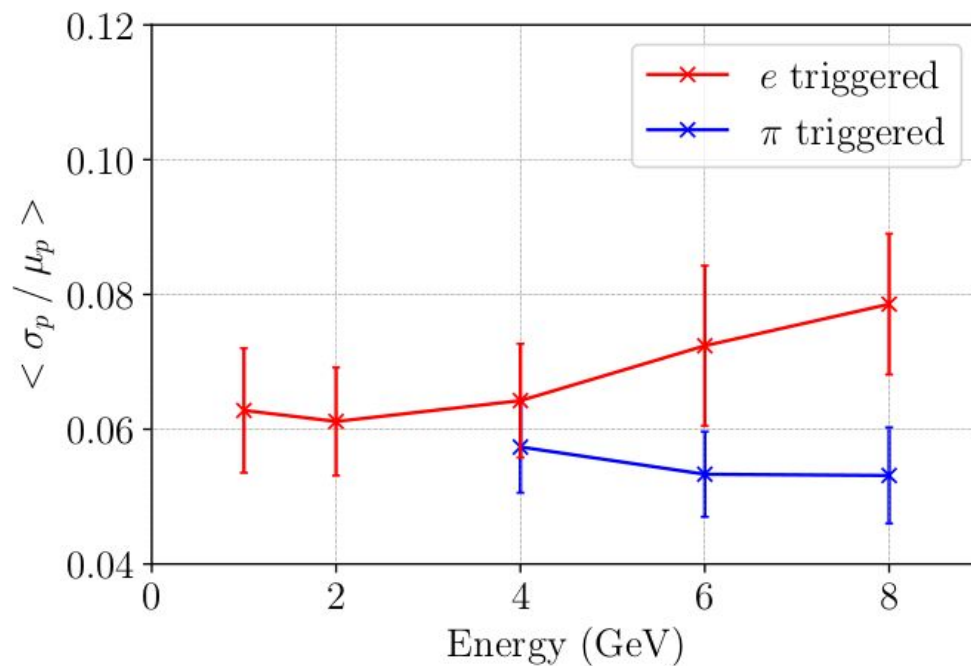
Example:

→ 8GeV MIP beam

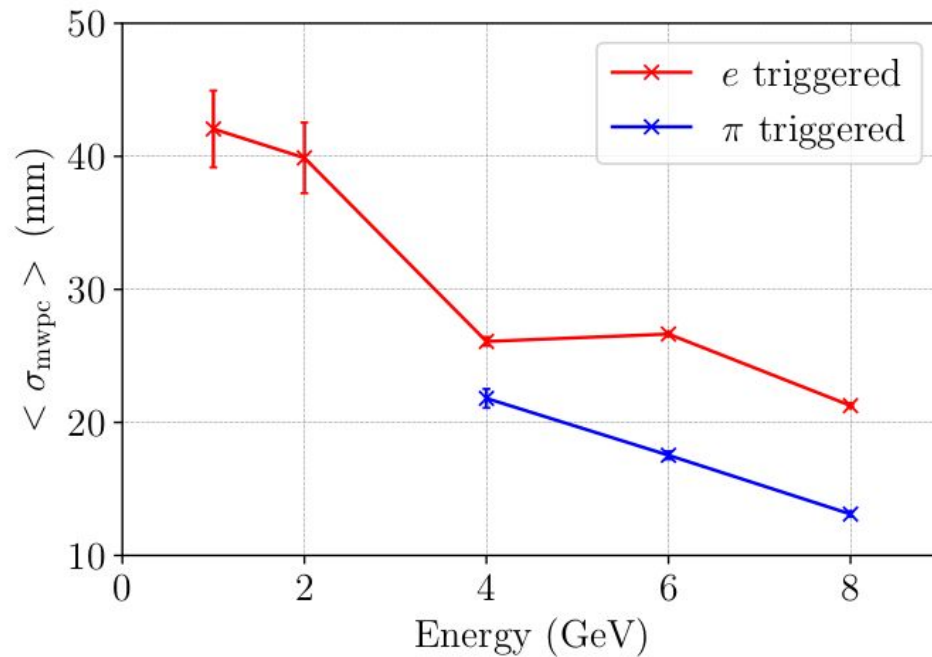




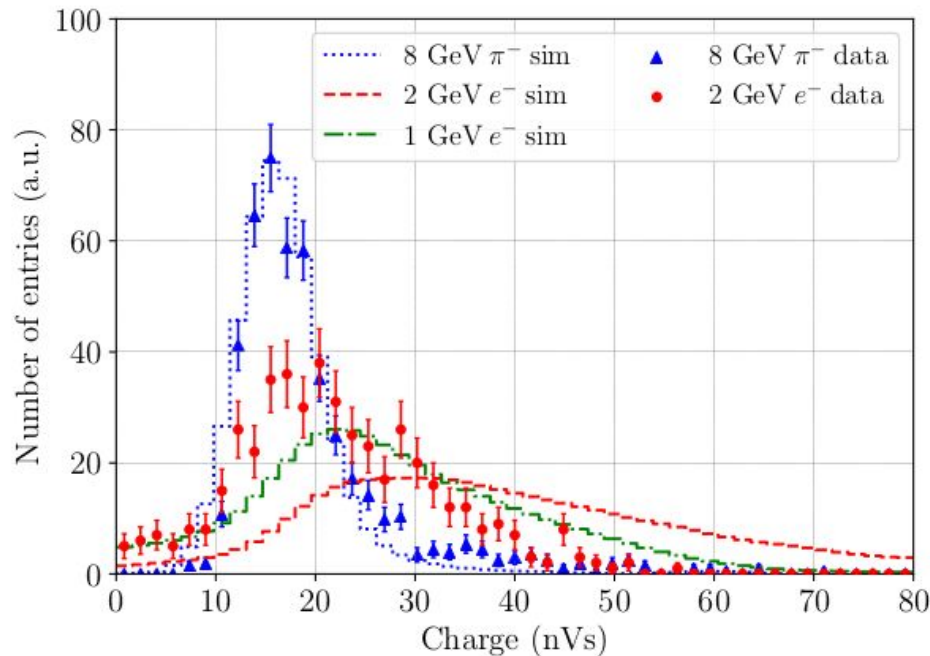
**Figure 5.15:** Charge of the secondary pulse plotted as a function of the charge of the waveform. In red circles show data points for a 2 GeV electron beam and in blue triangles show data points for an 8 GeV pion beam. For both configurations, it can be seen that the second pulse grows linearly with the total waveform.



**Figure 5.16:** Average of the mean normalised primary peak sigma as a function of the beam energy for an electron trigger in red and a pion trigger in blue.



**Figure 5.17:** Average spread of the beam as a function of the beam energy for an electron trigger in red and a pion trigger in blue. Spread was computed from beam profile data which was taken using 3 multi-wire proportional chambers.



**Figure 5.20:** Hit distribution of the number of photons hitting the PMT for both electron and pion events. Data points taken from this beam test are compared to Geant4 simulations. The presence of saturation can be seen to have a large affect for very high charge data, such as for the 2 GeV data with electron triggering.



## Driving PYTHIA6 from GENIE

Some amount of monkey business in making **quark + diquark** assignments most certainly due to our own unfamiliarity with PYTHIA. Luckily, overall generation outcomes not sensitive to choices made.

Init state	Hit quark	Leading quark	Remnant system	PYTHIA6 assignment		Weirdness level
$\nu + p$ CC	d valence	$(d \rightarrow) u$	uu	u	uu	
$\nu + p$ CC	d sea	$(d \rightarrow) u$	$\bar{d} + uud$	u	uu	*
$\nu + p$ CC	s sea	$(s \rightarrow) u$	$\bar{s} + uud$	u	uu	**
$\nu + p$ CC	$\bar{u}$ sea	$(\bar{u} \rightarrow) \bar{d}$	$u + uud$	u	uu	***
$\nu + n$ CC	d valence	$(d \rightarrow) u$	ud	u	ud	
$\nu + n$ CC	d sea	$(d \rightarrow) u$	$\bar{d} + udd$	u	ud	*
$\nu + n$ CC	s sea	$(s \rightarrow) u$	$\bar{s} + udd$	u	ud	**
$\nu + n$ CC	$\bar{u}$ sea	$(\bar{u} \rightarrow) \bar{d}$	$u + uud$	u	ud	***
...	...	...	...	...	...	...
...	...	...	...	...	...	...

# Improvements

