Parton showers beyond leading logarithmic accuracy

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European Research Council

relevance

• PS MCs take hard scattering events generated according to fixed order pQCD characterised by mom. transfers in the 100's to 1000's of GeV



Parton Shower Event Generators

• they not resumed radiative correst for the structure of events at ever smaller scales



Parton Shower Event Generators

• They take us from this level of theoretical description



to this ...



Run: 204153 Event: 35369265 2012-05-30 20:31:28 CEST ٠.

NLO + Parton Shower Event Generators

 Incisive new theory c. 2004 — AMC@NLO and POWHEG — showed how to fuse PSMC consistently with NLO perturbation theory, in generality and in practice



MC@NLO: Frixione, Webber

POWHEG: Alioli, Nason, Oleari, Re

NLO + Parton Shower Event Generators

 NLO+PS codes for basically all SM processes of interest can be freely obtained from a number of teams : HERWIG, AMC@NLO, SHERPA, POWHEG-BOX



• % of ATLAS+CMS+LHCb papers citing an article/group in Jan '14 → Oct '19



• PS / NLO+PS MC ubiquitous in Higgs analysis

• PYTHIA features prominently in 93% of papers, POWHEG 83%, AMC@NLO 66%

Does it matter for anything else?

% of ATLAS+CMS+LHCb papers citing some article/group in Jan '14 → May '20 0



PS MC is a central, everyday, part of the LHC physics programme 0

In simulating arbitrary numbers of real/virtual, soft/collinear, emissions PS MCs 0 are `the ultimate' resummation tools : they give you predⁿs for any observable

dipole parton shower in 2 mins

• Events are viewed throughout as a collection of colour-anticolour dipole ends, starting already at the level of the hard scattering



- gluon has two dipole ends, colour & anti-colour
- quark has one colour dipole end
- antiquark has one anti-colour dipole end

- Events are viewed at resolution scale v : typically the min p_{\perp} sepⁿ of any two partons
- Zooming-out from large v to small v more partons get resolved with smaller p_{\perp} sepⁿs



• Changing resolution like this is referred to as evolution in shower time [i.e. v]

- Probability to evolve [zoom-out] from resolution scale v₀ to some smaller scale v₁
 without resolving anything new along the way is called the Sudakov form factor
- It's the product of probabilities for no resolvable emission from each dipole end



• The first emission is distributed according to the probability neither side of the dipole emits and then either the colour end or the anti-colour end emits



• Given an emission from a dipole $\tilde{i} \tilde{j}$, i.e. given a phase space point, the probability it comes the \tilde{i} side is $d\mathcal{P}_{\tilde{i}[\tilde{j}] \rightarrow ig[j]}$, and analogously for the \tilde{j} side $d\mathcal{P}_{\tilde{j}[\tilde{i}] \rightarrow jg[i]}$

- The total momentum in the event is conserved before and after the emission
- Other particles need to recoil to balance emission k
- E.g. in PYTHIA & DIRE emitter, i, takes the transverse recoil of k in the i j C.O.M frame



• Residual dipole longitudinal momentum imbalance absorbed by rescaling $j \rightarrow j$

[spectator]

• Repeat the same exercise using the two new dipoles ...



1 GeV

• Evolve without resolving anything ...



• Branch. Construct post-branching kinematics.



1 GeV

• And repeat ...



• And repeat ...



• And repeat ...



• Then hadronize for $v < v_{min}$



parton shower accuracy

- PS MC accuracy not clearly defined; often conflated with ability to describe data
- PS MCs use ab initio QCD to simulate multiple-emissions across disparate scales
- This work:
 - a) Define PS MC accuracy as ability to reproduce pQCD results for multiple-emissions across disparate scales ...
 - i. singular structure of multiple parton MEs
 - ii. logarithmic resummation results
 - b) Establish general design principles for PS MCs to reach NLL accuracy
 - c) Demonstrate with full-fledged concrete examples

leading and next-to-leading log

• Given a FS colour dipole end, i, connected to an anti-colour dipole end, j

the probability to emit a soft gluon into phase space element at p__, $\eta_{
m dip}, \phi_{
m is}$

$$d\mathcal{P}_{\tilde{i}\tilde{j}\to ikj} = \frac{\alpha_{\rm s}C}{2\pi} dp_{\perp}^2 d\eta_{\rm dip} d\phi \frac{\tilde{p}_i \cdot \tilde{p}_j}{\tilde{p}_i \cdot p_k \, \tilde{p}_j \cdot p_k} = \frac{2\alpha_{\rm s}C}{\pi} d\ln p_{\perp} d\eta_{\rm dip} d\phi$$

- Emission specified by three phase space vars, e.g. p_{\perp} , η_{dip} , two of which are logarithmic
- Single log: integrate over either ln p_{\perp} / η dip [mo
- [more generally over a line in In p₁ η dip]
- Double log: integrate over both ln p_{\perp} & η_{dip} [more generally over an area in ln p_{\perp} η_{dip}]
- Can reparametrise, e.g. $p_{\perp} \rightarrow E_k \sim \frac{1}{2} p_{\perp} e^{|\eta|}$, but story above is the same

leading logs : strongly ordered emissions in both logarithmic variables



leading logs : strongly ordered emissions in one logarithmic variable



leading logs : strongly ordered emissions in one logarithmic variable



NLL building blocks

NLL building blocks : ME for strong angular ordered [A.O.]

• NLL for global observables only requires correctly accounting for configⁿs with strong A.O.



- Widest angle gluon is blind to smaller angle ones: thinks it was emitted from original qq dipole
- X-secⁿ factorises into wide angle gluon from original dipole x that for the n-1 particle process
- Reasoning iterates on the resulting n-1, n-2, ... x-secⁿs on the same basis
- I.e. probability for n emissions widely separated in angle is just n lots of the one-emission prob:

$$dP_n \simeq \frac{C_F^n}{n!} \prod_{i=1}^n \left(\frac{\alpha_s^{\text{CMW}}(p_{\perp,i}^2)}{\pi} \frac{dp_{\perp,i}}{p_{\perp,i}} dz_i P_{q \to qg}(z_i) \frac{d\phi_i}{2\pi} \right)$$

• Evaluating α_{s} at p_{\perp} in the CMW scheme accounts for secondary emissions [inclusively]

• Holds for strong A.O. even if emissions have $p_{\perp,i} \sim p_{\perp,i+1}$ [PS recoil better respect this]

- Non-global observables involve non-trivial partitions in phase space, e.g. jet-cone
- Resummation requires correct handling of emissions commensurate in angle, ordered in energy
- $E_{i+1} \ll E_i$ implies eikonal approximation
- In the large-Nc limit dipoles don't talk to each other [all charged differently]
- Ratio of n+1- to n-particle MEs for a given colour configⁿ is sum of MEs for each dipole to emit

 $dP_{q\bar{q}} \propto \frac{q.\bar{q}}{q.g_1 \bar{q}.g_1}$



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existing parton showers

Showers built out of the strong angular ordering picture [A.O.]

• Only the HERWIG/HERWIG++ PS MCs take strong A.O. as their core construction principle [feeding into NLO+PS tools producing hard scattering configurations in need of showering]



- It was clear since the first days of NGLs angular ordering, on its own, won't resum them
- Banfi, Corcella, Dasgupta '06 studied this for HERWIG, showing discrepancies with LL NGL calcⁿs

Showers built out of the dipole picture

- PYTHIA, DIRE, SHERPA have the dipole multiplication model as their core construction principle⁺
- They additionally match the soft dipole fⁿs to AP splitting fⁿs to describe hard-collinear radⁿ ...



- Foundations to start building the next generation of precision PS MC from?
- No. Turns out they fundamentally don't reproduce the A.O. limit arXiv:1805.09327
- ⁺ ARIADNE [long long ago] was the first PSMC based on the dipole picture [Gustafson, Lönnblad & others]

current dipole PS MCs & QCD coherence

dipole PSMCs partition radⁿ pattern w.r.t the colour & anti-colour ends

• On-the-market dipole PSMCs split eikonal fⁿs up symmetrically in the **dipole C.O.M**

$$d\mathcal{P}_{\tilde{i}\tilde{j}\to ikj} = d\mathcal{P}_{\tilde{i}[\tilde{j}]\to ik[j]} + d\mathcal{P}_{\tilde{j}[\tilde{i}]\to jk[i]}$$

$$= \frac{2\alpha_{s}C}{\pi} \frac{dp_{\perp}}{p_{\perp}} d\eta \left[\frac{e^{2\eta}}{1+e^{2\eta}}\right] + \frac{2\alpha_{s}C}{\pi} \frac{dp_{\perp}}{p_{\perp}} d\eta \left[\frac{e^{-2\eta}}{1+e^{-2\eta}}\right]$$

$$= \frac{2\alpha_{s}C}{\pi} \frac{dp_{\perp}}{p_{\perp}} d\eta$$

i end accounts for full dipole branching probability in limit i || k [η → +∞]
 j end accounts for full dipole branching probability in limit j || k [η → -∞]

dipole partitions back in the event COM are not symmetric

• Consider we emitted **soft gluon g1** from **hard** $q\bar{q}$, so we end up with a $\bar{q}g_1$ and a g1q dipole:



• To get us from the event COM to the g₁q dipole COM [blue line] requires a **BIG BOOST** →



• To get us back to the event COM from the giq dipole COM undo the same **BIG BOOST** +



• In event COM partition comes out very close to q ; instead of equidistant in angle between g1 & q

dipole partitions back in the event COM are not symmetric

- $\overline{q}g_1$ dipole partition is similarly located at $\eta = 0$ in $\overline{q}g_1$ COM
- But for soft g1 the \overline{q} g1 COM also involves **BIG BOOST** \rightarrow to return that back to event COM
- Our dipole PSs thus encode the following partitioning & associated emitter-spectator labelling



dipole partitions that angular ordering would prescribe

• Colour coherence [angular ordering] instead dictates we should partition things more like in the following picture, using the same colour coding for g₂'s emitter-spectator assignment as before



dipole partitions and colour factor issues

• The dipole shower phase space partitioning of g2's radiation pattern is:



• Angular ordering implies a partitioning more like the following:



- In attributing emission of g_2 to g_1 over much greater angular regions than advocated by colour coherence including regions when g_2 is essentially collinear to the q or \overline{q} our dipole showers generate g_2 with a C_A/2 colour factor in regions where the correct colour factor is C_F
- The effective soft+collinear ME for g₂ has wrong colour factor including at small angles
- In general causes spurious subleading Nc terms to appear at LL level

dipole partitions and recoil attribution issues

- Emitter-spectator assignment also determines how recoil is attributed
- Emitter balances p⊥ of emission w.r.t emitter's original dirⁿ, spectator's energy shrinks to make up the rest
- Consider ĝi on the top-right & how it recoils to become gi depending on the partition g2 comes out in [depending on emitter assignment]
- Emissions widely separated in angle aren't supposed to talk to each other!
- ĝ1 kicked by g2 too often
- This issue generalises to all orders leading to spurious NLL contributions

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PSMCs at NLL

NLL PSMC with a dipole-local recoil scheme : PanLocal

- Existing dipole shower algorithms are correct for commensurate angles and ordered energies
- We seek to make them work also for emissions with commensurate p_{\perp} 's and strong A.O.
- In the first case we limit ourselves to the same dipole-local recoil employed in existing PSMC
- Recall existing dipole PSMCs partition dipoles symmetrically in angle in the dipole's C.O.M



NLL PSMC with a dipole-local recoil scheme : PanLocal

• Novel element 1 : partition each dipole symmetrically in angle in the event C.O.M instead:



• Better but still not as A.O. prescribes in the $\overline{q}g_1$ dipole region



• Radⁿ can be emitted at wide angles w.r.t earlier emissions [here g1] with recoil, colour factors, etc all still attributed to the wrong emitter: spurious LL large-Nc terms & NLL full Nc ones

NLL PSMC with a dipole-local recoil scheme : PanLocal

• Novel element 2 : choose an evolution variable that effectively imposes some angular ordering

$$v \sim k_{t,\tilde{i}k} e^{-\beta \left| \eta_{\tilde{i}k} \right|} \sim k_{t,\tilde{i}k} \theta_{\tilde{i}k}^{\beta} \qquad [0 < \beta < 1]$$

- Ordering emissions in this variable implies those with commensurate kt's are ordered from larger to smaller angles
- Any later emissions going in the wide angle part of the blue region have at least comparable emission angles to g_1 : ordering in v then implies $k_{t,1} \gg k_{t,2}$, i.e. there is no recoil to mishandle



• Together, the dipole partitioning and ordering variable combine such that at any significant k_t recoil in the event is always taken by the extremities of the [hard] qg{…}gq dipole chain

NLL tests of full-fledged PS MC implementations

Testing NLL accuracy : global and non-global observables

- We considered cumulative distributions for a wide variety of observables, e.g. jet rates and event shapes, in the limit $\alpha_{s}L\sim1$ and $|L|\gg1$, with 2-loop running α_{s} in the CMW scheme
- Results for all such tested observables are known from analytic resummation to have this form

$$\Sigma(\alpha_s, \alpha_s L) = \exp\left[\alpha_s^{-1}g_1(\alpha_s L) + g_2(\alpha_s L) + \mathcal{O}(\alpha_s^{-1}L^{n-1})\right]$$

$$NUL \sim \alpha_s^{n}L^{n-1} \sim \mathcal{O}(\alpha_s)$$

fracⁿ of events where observable value < e^L zero for η slice

• To compare PSMC to analytic NLL we compute ratios $\Sigma_{PS} / \Sigma_{NLL}$ and extrapolate to $\alpha_{S} \rightarrow 0^{+}$

• If PS not NLL,
$$\Sigma_{PS}$$
 fails for $O(\alpha_{S}^{n}L^{n})$ i.e. $O(1)$ we will find $\lim_{\alpha_{S}\to 0} \frac{\Sigma_{PS}}{\Sigma_{NLL}} \neq 1$
• If PS NLL OK, Σ_{PS} fails for $O(\alpha_{S}^{n}L^{n-1})$ i.e. $O(\alpha_{S})$ we will find $\lim_{\alpha_{S}\to 0} \frac{\Sigma_{PS}}{\Sigma_{NLL}} = 1$

[†] This technical challenge well outweighs the theoretical one of formulating the new PSMC models

Testing NLL accuracy : comparison of PSMCs to dedicated NLL calcⁿs

• Global observables : testing the angular ordered regime



Orange triangles signal that fixed order analysis reveals deviations from NLL results

• As expected, PanLocal with transverse momentum ordering [$\beta = 0$] fails like PYTHIA8/DIRE

Testing NLL accuracy : comparison of PSMCs to dedicated NLL calcⁿs

• Non-global observables : testing the energy ordered commensurate angle regime



Testing NLL accuracy : comparison of PSMCs to dedicated NLL calcⁿs

• kt-jet multiplicity [as fn of In ycut] : sensitive to full nested soft-collinear branching structure



- Imagine the event is a real event as from mother nature
- Imagine it's clustered into two-jets by the Cambridge algorithm



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- Iterate undoing the clustering on the hardest produced pseudojet until you can't do it anymore



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00000

• Now measure the azimuthal sepⁿ, $\Delta \psi_{12}$, of the two highest p₁ pseudojets

• These may or may not be in the same jet!

- We measure the azimuthal sepⁿ at very small k_t 's : -0.6 < α s ln $k_{t,1}/Q$ < -0.5
- We require the two pseudojets have commensurate kt's: 0.3 < kt,2/kt,1 < 0.5
- The only logarithms that can develop are therefore due to large angular sepⁿs
- We know that in this limit of commensurate k_t's and strong A.O. all emissions are blind to each other:
 Δ ψ 12 distⁿ is flat for NLL QCD !

• We extrapolate $\Delta \psi_{12}$ distⁿ to $\alpha_{s} \rightarrow 0$



- Exact same pattern of results unfolds as for the global observables [predictably]
- But deviations from NLL are bigger
- PYTHIA8 & DIRE kt ordered local recoil
 PSMCs deviate up to 60% from NLL
- Deviation in H→ gg case only goes up to 30%; additional colour line in case of gluon jets means pseudojets 1 & 2 half as likely to be colour connected
- Such deviatⁿs can bias ML based analysis



Summary

- PSMCs are central everyday tools in the LHC physics programme
- PSMCs are subject to a high-level of validation w.r.t each other & data
- We advocate supplementing this with additional theoretical validation
 - i. examining the extent to which they capture singularities of multi-parton MEs
 - ii. checking how their predictions compare to logarithmic resummation
- We find existing dipole PSMCs violate colour coherence leading to LL & NLL issues
- We point to key physical elements in NLL resummation of global and non-global observables, as mandatory design constraints on PSMCs
- Concrete local- & global-recoil dipole PSMCs based on these were defined
- Full-fledged implementations were proven to be NLL for a wide range of observables

- Non-global observables involve non-trivial partitions in phase space, e.g. jet-cone
- Resummation requires correct handling of emissions commensurate in angle, ordered in energy
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- In the large-Nc limit dipoles don't talk to each other [all charged differently]
- Ratio of n+1- to n-particle MEs for a given colour configⁿ is sum of MEs for each dipole to emit

• Summing and symmetrising the latter over all possible orderings gives

$$dP_n \simeq \frac{1}{n!} \prod_{i=1}^n \frac{\alpha_s}{\pi} \frac{d\omega_i}{\omega_i} \frac{d^2 \Omega}{4\pi} N_c \sum_{\pi_n} \frac{p_1 \cdot p_2}{(p_1 \cdot k_{i_1})(k_{i_1} \cdot k_{i_2}) \dots (k_{i_n} \cdot p_2)}$$

• N.B. unlike the A.O. limit, in the limit $E_{i+1} \ll E_i$ recoil can be safely forgotten about

NLL PSMC with an event-wide global recoil scheme : PanGlobal

• The longitudinal recoil from each emission is still balanced within the emitting dipole like so

$$\bar{p}_k = a_k \tilde{p}_i + b_k \tilde{p}_j + k_\perp$$

$$\bar{p}_i \qquad \bar{p}_i = (1 - a_k) \tilde{p}_i ,$$

$$\bar{p}_j \qquad \bar{p}_j = (1 - b_k) \tilde{p}_j .$$

- \circ ~ The event started with momentum Q and now has momentum Q+k_ $\!$
- All particles in the event are rescaled by the same factor, r, to maintain its invariant mass
- k_{\perp} balanced by boosting the event from $r(Q+k_{\perp})$ back to Q
- k_{\perp} dominantly absorbed by the most energetic particles in the event; hard $q\overline{q}$ ends of dipole chain



• Ensures correct pattern of emission for commensurate k_{\perp} A.O. emissions and commensurate angle energy ordered emissions without changing the ordering variable: k_{\perp} ordering will work $[0 \le \beta < 1]$